

ORDINANCE 2024-41

ORDINANCE ADOPTING PERSONNEL POLICIES AND PROCEDURES

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF LOXLEY, ALABAMA, AS FOLLOWS:

WHEREAS, the City Council of the City of Loxley recognizes that it should provide personnel rules stating the City's employment rules, policies, and procedures, and generally describe employment benefits for the City of Loxley employees.


WHEREAS, the City of Loxley has a current personnel policy, put into effect by Ordinance No. 322, adopted October 24, 1988, and the City Council no longer believes that it properly suits the needs of the City's employees.

WHEREAS, the City Council of the City of Loxley wishes to repeal Ordinance No. 322, adopted October 24, 1988, and adopt a new set of Personnel Policies and Procedures.

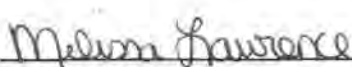
THEREFORE, the City Council of the City of Loxley ordains as follows:

1. The City Council of the City of Loxley has agreed to adopt "City of Loxley Personnel Policies and Procedures," which is attached to this ordinance.
2. "City of Loxley Personnel Policies and Procedures" will be the governing document for all personnel matters concerning City of Loxley employees.
3. Except as provided herein, all other ordinances and resolutions of the City of Loxley shall remain in full force and effect.
4. This ordinance shall become effective immediately upon its adoption and publication.

ADOPTED AND APPROVED this 18th day of November, 2024


Richard Teal
Mayor

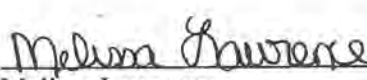
ATTEST:


Melissa Lawrence
City Clerk/Treasurer

CERTIFICATION

I, Melissa Lawrence, City Clerk of the City of Loxley, Alabama hereby certify the above to be true and correct copy of an ordinance adopted by the City Council of the City of Loxley at a special called council meeting held on the 18th day of November 2024, as same appears in the minutes or record of said meeting.

I further certify that copies of the ordinance above were published by posting copies thereof in the Loxley Post Office, the Loxley City Hall, the Loxley Public Library, and the Loxley Police Station beginning November 20, 2024, and took effect five days thereafter.


Melissa Lawrence
City Clerk/Treasurer

CITY OF LOXLEY

**PERSONNEL POLICIES
AND PROCEDURES**

ADOPTED BY CITY COUNCIL ACTION: November 18, 2024
Ordinance No. 2024-41

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FOREWARD

Whether you have just joined the City of Loxley or have been with us for a while, we are confident that you will find the City a dynamic and rewarding place to work, and we look forward to a productive and successful association. We consider the employees of the City to be our most valuable resource. This manual has been written to serve as a guide for the employer/employee relationship.

There are several things that are important to keep in mind about this policies and procedures manual. First, it contains only general information and guidelines. This manual is not intended to be comprehensive or to address all possible applications of or exceptions to the general policies and procedures. For that reason, if you have any questions concerning eligibility for a specific benefit, or the applicability of a policy or practice, you should address your questions to the Office of the Mayor. Written enquiries should be addressed to the Mayor in care of the City Clerk. Neither this manual nor any other City document confers any contractual right, either express or implied, to remain in the City's employ. Nor does it guarantee any fixed terms and conditions of employment. No supervisor or other representative of the City has the authority to enter into any agreement for employment for any specified period of time, or to make any agreement contrary to the above.

Second, the procedures, practices, policies, and benefits described here may be modified or discontinued from time to time through City Council action. We will try to inform you of any changes as they occur.

Finally, some of the benefits described here are covered in detail in official benefit policy and plan documents from the providers. You should refer to plan documents for specific information, since this manual only briefly summarizes those benefits. Please note that the terms of the City's written insurance policies take precedence over anything concerning insurance contained in this manual.

1.0 INTRODUCTION

1.1 PURPOSE

The City, through City Council approval, has adopted the personnel policies and procedures system contained herein. The purpose of the personnel policies and procedures system is to establish:

- Guidance to City officials in their relationship with City employees and other City officials;
- Employee rights and responsibilities;
- Fair and equitable treatment for all employees;
- Comparable pay for comparable work; and
- Rules of conduct and performance expectations.

Such policies and procedures provide structure and consistency for administrative actions which will facilitate the selection and retention of qualified employees, enhance effective and efficient performance in providing services to the citizens of the City, and enable City employees to derive satisfaction from their work. In addition, these policies include the conditions under which employees are employed, benefits applicable to the employees of the City, and other matters regarding employee wages and working conditions.

1.2 SCOPE

The personnel system contained herein is the authorized personnel system for the City. These policies and procedures apply to all employees and City officials except where expressly exempted by these policies and procedures. Certain elected officials are exempted to whom established personnel policies and procedures shall in no way apply except as they govern their actions with City employees. In addition to those exempted employees identified above, any firm, individual, or agency on retainer with the City will also be exempted from these policies and procedures except as provided by Federal law.

The City adheres to all applicable federal and state regulations concerning wages, work hours, facilities, hazardous working conditions, and other conditions of employment.

1.3 CITY COUNCIL'S APPROVAL AUTHORITY

The City Council is the approval authority for all City personnel policies and procedures. Changes to these policies and procedures are not authorized except as approved by the City Council. The City reserves the right to make changes in the City policies and procedures or benefits at any time. These policies and procedures shall be effective with the date of their implementation and applicable until changed by the City Council. Such changes shall not affect benefits earned prior to the date of each change, but may affect benefits or other conditions of employment from the date of the change forward.

The application of these policies and procedures shall be governed by the rules, regulations, and procedures adopted by the City Council. Department Heads may issue and implement departmental rules and regulations if consistent with these policies and procedures.

1.4 RESPONSIBILITY FOR ADMINISTRATION

These policies and procedures shall be administered by all elected officials, Department Heads, supervisors, and other appropriate administrative personnel and shall be subject to regular and periodic review by the City Council.

The official master copy of the City of Loxley Personnel Policies and Procedures shall be maintained on file in the Office of the Mayor. Copies will be distributed to all Department Heads, City Council Members, and Personnel Board members. Copies shall be issued to all new employees and are also available upon request.

Each employee, regardless of employment status, shall acknowledge in writing that he/she has received a copy of the City of Loxley Personnel Policies and Procedures. All employees are expected to comply with all provisions of the policies and procedures contained herein.

Questions regarding any aspect of the implementation of the City of Loxley Personnel Policies and Procedures should be directed to the Mayor. Written enquiries should be addressed to the Mayor in care of the City Clerk. Questions concerning areas of conflict or other issues not expressly covered in these policies and procedures should be referred to the City Council for resolution.

2.0 GENERAL POLICY STATEMENTS

2.1 ORGANIZATIONAL VALUES

The quality of life and environment in our community depends upon a partnership among citizens, elected officials, and City employees. Each one of us represents the City of Loxley. We are what makes the difference between a good organization and an excellent one. Our quest for excellence is founded on the following organizational values:

- We value open accessible government. Honest government is our primary responsibility. Openness encourages competence because our actions must meet the standard of public scrutiny.
- We value fiscal responsibility. Consistent and careful management of our financial resources demonstrates respect for our citizens whose taxes and revenue support our organization. Fiscal responsibility recognizes that money alone is not sufficient. Efficient and cost-effective problem resolution also demands fresh approaches and creativity.
- We value professionalism. We believe that successful completion of the work is much more important than who gets credit. A professional attitude requires a dispassionate analysis of the issues and a strong commitment to the organization and the community. A professional attitude comes from individual pride in work, teamwork, and shared goals.
- We value excellent service to our citizens and visitors. We are dedicated to providing the highest service level possible delivered with respect, concern, and a positive attitude. We believe that quality is central to everything we do.
- We value our employees. We are committed to providing a stable, safe, and rewarding work environment with opportunities for learning and growth. We will promote the fair and equitable treatment of all employees. We believe that work should be a source of enjoyment and satisfaction. We will strive for the highest morale and productivity. Creativity and innovation are encouraged and add to organizational effectiveness.
- We value organizational self-sufficiency. We value long-term relationships with local suppliers and encourage the use of local resources whenever possible. In this manner, we can meet local needs, provide continuity of services, and contribute to the local economy.

We are proud of the City of Loxley, our employees, and the citizens we serve.

2.2 EQUAL EMPLOYMENT OPPORTUNITY POLICY

The City is an equal opportunity employer and does not discriminate in any employment policy or practice on the basis of race, sex, age, color, creed, national origin, religion, disability, military status, marital status, or any other protected characteristic as established by law. In addition, the City will not discriminate based on political or party affiliation except that the City will not employ persons who hold membership in any political party or organization whose objective is the violent overthrow of the government of the United States of America.

This policy applies to all policies and procedures related to recruitment and hiring, promotions, transfers, compensation, benefits, terminations, and all other terms and conditions of employment. Consistent with Title VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, candidates shall be considered for employment only on the basis of job-related qualifications, training, experience, capabilities, and performance potential. In addition,

candidates shall be selected for employment only on the basis of merit and fitness without regard to race, sex, age, color, creed, national origin, religion, military status, or disability, except where age, sex, or disability constitute a bona fide occupational qualification. In addition, the City shall comply with all local, state, and federal laws concerning equal employment opportunity and discrimination.

The Mayor serves as the City's EEO Compliance Officer and is responsible for ensuring policy compliance, providing training, preparing related reports, investigating complaints, and monitoring procedures. The Mayor will be assisted by the City Clerk. Questions or concerns regarding EEO policy should be addressed to the Mayor. Written enquiries or concerns should be addressed to the Mayor care of the City Clerk.

2.3 NON-DISCRIMINATION AND ANTI-HARASSMENT POLICY

2.3.1 GENERAL PROVISIONS

The City is committed to providing a workplace free of discrimination and harassment and an environment in which all individuals are treated with respect and dignity. Each individual has the right to work in a professional atmosphere that promotes equal employment opportunities and prohibits discriminatory practices and harassment. Therefore, the City expects all relationships among persons in the workplace to be business-like and free of bias, prejudice, and harassment.

Discrimination and/or harassment on the basis of race, sex, age, color, national origin, religion, disability, military status, marital status, or any other protected characteristic is illegal and will not be tolerated. Discrimination is any action that unlawfully or unjustly results in unequal treatment of persons or groups based on race, sex, age, color, national origin, religion, disability, or any other legally protected characteristic. Harassment includes but is not limited to conduct which is unwelcome and is based on the victim's race, sex, age, color, national origin, religion, disability, or any other type of protected characteristic.

The purpose of this policy is to:

- eliminate discrimination and harassment of any type;
- provide disciplinary penalties for discrimination or harassing conduct or behavior; and
- provide employees with a procedure for reporting perceived discrimination and harassment.

2.3.2 INDIVIDUALS AND CONDUCT COVERED

These policies and procedures apply to all applicants and employees and prohibit harassment, discrimination, and retaliation whether engaged in by employees, supervisors, managers, vendors, consultants, customers, or citizens. Conduct prohibited by these policies is unacceptable in the workplace and in any work-related setting outside of the workplace such as during business trips, business meetings, and business-related social events. These policies shall not be used to exclude or separate individuals of a particular gender or protected characteristic from participating in business or work-related social activities or discussions in order to avoid allegations of harassment.

2.3.3 SEXUAL HARASSMENT

Sexual harassment, a form of sex discrimination, is illegal under federal and state laws and is strictly prohibited by City policy. As defined in the Equal Employment Opportunity Guidelines, sexual harassment is unwelcome sexual advances, requests for sexual favors, and other verbal and/or physical conduct of a sexual nature, when:

- Submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment; and/or
- Submission or rejection of such conduct by an individual is used as the basis for employment decisions affecting the individual; and/or

- Such conduct has the purpose of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive work environment.

Sexual harassment may include a range of subtle and not so subtle behaviors and may involve individuals of the same or different gender. Depending on circumstances, these behaviors may include, but are not limited to: unwanted sexual advances or requests for sexual favors; sexual jokes and innuendo; verbal abuse of a sexual nature; commentary about an individual's body, sexual prowess, preferences, or deficiencies; leering; catcalls or touching; insulting or obscene comments or gestures; display or circulation in the workplace of sexually suggestive objects or pictures or calendars; and other physical, verbal, or visual conduct of a sexual nature.

City policy specifically prohibits any employee from engaging in the sexual harassment of another employee, customer, vendor, or citizen. Likewise, the City will not tolerate any customer, vendor, or citizen engaging in the sexual harassment of a City employee. However, conduct or action arising out of a personal or social relationship that is not intended to have a discriminatory employment effect may or may not be viewed as harassment depending on specific circumstances. The City shall determine whether such conduct constitutes sexual harassment based on a review of the facts and circumstances of each situation.

2.3.4 HARASSMENT BASED ON RACE OR OTHER PROTECTED CHARACTERISTIC

Harassment on the basis of race or any other protected characteristic is illegal under federal and state laws and is strictly prohibited by City policy. Under this policy, harassment is defined as verbal or physical conduct that denigrates or shows hostility or aversion toward an individual because of his/her race, color, religion, age, national origin, disability or any other characteristic protected by law or that of his/her relatives, friends, or associates, and that:

- has the purpose or effect of creating an intimidating, hostile, or offensive work environment; and/or
- has the purpose or effect of unreasonably interfering with an individual's work performance; and/or
- otherwise adversely affects an individual's employment opportunities.

Harassing conduct includes, but is not limited to: epithets; slurs; negative stereotyping; threatening, intimidating or hostile acts; denigrating jokes; and display or circulation in the workplace of written or graphic material or e-mail that denigrates or shows hostility or aversion toward an individual or group.

2.3.5 COMPLAINT PROCEDURE

The City strongly urges the reporting of all perceived incidents of discrimination, harassment, or retaliation, regardless of the offender's identity or position. Employees are encouraged to take specific action if discrimination or harassment occurs. Where appropriate, the employee should express his/her discomfort directly to the offender. The employee should also promptly file a written complaint with his/her immediate supervisor. If the immediate supervisor is the alleged offender, the employee should submit a written complaint to the supervisor's superior. Complaints regarding a Department Head should be reported to the Mayor. Written complaints should be addressed to the Mayor in care of the City Clerk.

Any supervisor or Department Head who receives a written or verbal complaint of discrimination or harassment is required to submit the complaint immediately to the Mayor who serves as the City's EEO Compliance Officer. The Mayor shall conduct a formal investigation and shall engage the assistance of other Department Heads unrelated to the complaint to participate in the investigation. Complaints of discrimination and harassment shall be investigated thoroughly. Among those interviewed shall be the alleged victim, the alleged offender, the employee's supervisor, the Department Head and any other employees

with knowledge of the behavior. Both the complaint and the investigative steps and findings will be documented as thoroughly as possible. All such inquiries or complaints will be treated as confidential to the extent permissible by law. The name of the complainant and the circumstances of the complaint will not be disclosed to any person except where disclosure is necessary for the purpose of investigation, review with the Personnel Board, disciplinary action related to the complaint, or reporting required by federal or state regulations.

2.3.6 NON-RETALIATION

No employee will be subject to any form of retaliation or discipline for pursuing a discrimination or harassment complaint or for participating in an internal investigation conducted by the Mayor. However, an individual who knowingly makes a false claim of discrimination or harassment or provides false statements as part of an investigation will be subject to appropriate disciplinary action.

Retaliation against an individual for reporting harassment or discrimination or for participating in an investigation of a claim of harassment or discrimination is a serious violation of policy, and will be subject to disciplinary action.

Any employee who is dissatisfied with the resolution of a discrimination or harassment complaint may file an appeal with the City Council in accordance with the City's grievance procedure.

2.3.7 CONSEQUENCES OF POLICY VIOLATION

Compliance with this policy and with any related investigation is a condition of employment. Any form of discrimination and/or harassment will not be tolerated. Misconduct constituting harassment, discrimination, or retaliation will be dealt with appropriately. Corrective actions may include but are not limited to: training, referral to counseling, written reprimand, withholding of a promotion or pay increase, reassignment, temporary suspension without pay, or dismissal from employment, depending on the individual circumstances and nature of the conduct.

If the disciplinary action is determined to be less than termination, the offender shall sign a written statement that the inappropriate behavior will stop immediately.

2.4 AMERICANS WITH DISABILITIES ACT POLICY

2.4.1 GENERAL PROVISIONS

The City is committed to complying with all applicable provisions of the Americans with Disabilities Act (ADA). An individual with a disability under the ADA is a person who has a physical or a mental impairment that substantially limits one or more major life activities such as caring for oneself, walking, seeing, hearing, speaking, or working.

The City shall not discriminate against any qualified employee or applicant with regard to any terms or conditions of employment because of the individual's disability or perceived disability as long as the employee can perform the essential functions of the job with or without reasonable accommodation.

The City will provide reasonable accommodations to a qualified individual with a disability, as defined by the ADA, who has made the City aware of his or her disability, provided that such accommodation is feasible and does not constitute an undue hardship on the City. However, in all instances of hiring and retention, the City is not required to hire or retain an individual whose presence in the workplace poses a substantial risk of harm unless a reasonable accommodation can eliminate the threat to health and safety. Such a determination shall be based on an individualized assessment of the situation. In addition, the ADA does not require the City to make the best possible accommodation, or to reallocate essential job functions, or to provide personal use items such as eyeglasses, hearing aids, wheelchairs, etc.

The City encourages individuals with disabilities to make the City aware of the disability and to request a reasonable accommodation. Any employee with a disability who believes that he/she needs a reasonable accommodation to perform the essential functions of his/her position should contact the Mayor. Written requests for an accommodation should be addressed to the Mayor in care of the City Clerk.

2.4.2 PROCEDURE FOR REQUESTING AN ACCOMMODATION

Upon receiving a request for a reasonable accommodation, the Mayor and the Department Head will meet with the employee to discuss and identify the precise limitations resulting from the disability and the potential accommodations. The City of Loxley will determine the feasibility of the requested accommodation considering various factors, including but not limited to:

- The nature and cost of the accommodation;
- The availability of tax credits and deductions;
- Outside funding;
- The City's total financial resources;
- The impact on the operation of the City;
- The impact on the ability of other employees to perform their job duties; and
- The impact on the City's ability to conduct business.

The City will inform the employee of its decision regarding the request for accommodation and the reasons for its decision. If the request is denied, the employee may appeal the decision to the City Council.

An employee or job applicant who has questions regarding this policy or believes he or she has been discriminated against related to a disability should notify the Mayor. If written notification is provided, the notification should be addressed to the Mayor in care of the City Clerk. All such inquiries or complaints shall be investigated thoroughly in accordance with established procedures and treated as confidential to the extent permissible by law.

2.5 ANTI-NEPOTISM POLICY

Members of an employee's immediate family shall be considered for employment based solely on their qualifications for vacant positions. However, the City shall not employ an individual if the individual would be subject to administrative or supervisory control by a member of the individual's immediate family. The City's anti-nepotism policy also applies to assigning, transferring, or promoting an employee.

For the purpose of this policy, immediate family shall be interpreted to include spouse, child, siblings, parents or guardians, parents-in-law, stepparents, sisters-in-law, brothers-in-law, stepchildren, daughters-in-law, sons-in-law, grandparents, grandchildren.

Individuals employed before adoption of this specific policy and procedures shall be exempt from these provisions only as they relate to their current position.

Consenting romantic relationships between a supervisor and a subordinate may lead to unhappy complications and significant difficulties for all concerned. Any such relationship is contrary to the best interests of the City; therefore, the City strongly discourages such relationships. If a consensual romantic relationship between a supervisor and a subordinate should develop, it shall be the responsibility and mandatory obligation of the supervisor to disclose the existence of the relationship to his/her Department Head and the Mayor.

Employees who become family members or establish a romantic relationship may continue employment as long as there is no supervisory relationship or a conflict of interest. Likewise, employees who become family members or establish a romantic relationship shall not interfere with the job performance of the other employee, disrupt operations, or attempt to interfere with the supervisor's direction of the employee.

If employees become immediate family members or establish a romantic relationship and there is a conflict of interest or the potential for adverse impact on the City, the City will make reasonable efforts to transfer one of the employees. If transfer is not feasible, the employees shall select which

one shall resign. If the employees cannot make a decision, the City in its sole discretion shall decide which employee will be retained.

2.6 E-VERIFY

To comply with applicable laws of Homeland Security and Immigration, the City of Loxley participates in the E-Verify Program and follows E-Verify guidelines.

3.0 EMPLOYMENT POLICIES AND PROCEDURES

3.1 EMPLOYMENT STATUS CATEGORIES

Every position within the City shall be designated by the City Council in accordance with the following classes:

“Exempted Status” – Elected officials to whom established personnel policies and procedures shall in no way apply except as they govern their actions with City employees.

“Unclassified employee” – An employee who serves at the pleasure of the elected officials for whom her/she works. Unclassified positions shall be designated by the City Council of the City in coordination with the Mayor. Unclassified positions shall be kept to the minimum number absolutely essential. Positions eligible for designation as unclassified include Department Heads and a limited number of other positions considered to be in the best interest of the City. Such designations shall not be for the purposes of decreasing the salaries of such employees or for the purpose of evading the normal channels of discipline, demotion, transfer, or discharge. Established personnel policies and procedures shall apply to these employees. Unclassified employees may be considered for classified positions if a vacancy exists and they are qualified.

“Classified Employee” – An employee who has successfully completed the probationary period in a position that has been approved and designated classified by the City Council of the City, and who has been designated by the Mayor as a classified employee.

“Probationary employee” – An employee who has not completed the initial employment period in a classified position and who has not been designated as a classified employee by the Mayor.

“Full-time employee” – An employee who regularly works a full work week as defined by the Town Council. Full-time employees may occupy either a classified or an unclassified position.

“Part-time employee” – An employee who holds a position designated as part-time and who works a week that usually does not exceed 20 hours per week.

“Provisional appointment” – A non-competitive appointment authorized by the City Council for a period usually not to exceed 179 days when due to unforeseen circumstances no appropriate eligible candidate is immediately available or to fill the temporary absence of an employee. The person appointed must meet the minimum qualifications required for the job.

“Temporary Employee” – A position approved by the City Council for a period usually not to exceed 179 days. A temporary employee is hired for seasonal work, a specific project, or for a brief period of time. A temporary employee in a non-exempt position is paid by the hour while a temporary employee in an exempt position is paid according to the terms of hire for that individual. Temporary employees do not receive any additional compensation or benefits beyond the hourly rate of pay.

3.2 REQUISITION PROCEDURE

When a Department Head establishes the need to fill either a new position or an existing position, he/she will complete a personnel request form and submit it to the City Clerk. All personnel requests should be submitted as soon as the need is anticipated, but normally at least four weeks in advance of hire to provide time to recruit and screen qualified applicants.

If the position has budget approval, the City Clerk will advertise the vacancy and refer the best-qualified candidates to the Department Head. If the position does not have budget approval, the Mayor, in coordination with the Department Head, will prepare a job description and determine the proper classification and pay range for the position. If there is a question concerning the proper classification, a desk or field audit may be performed to determine the proper classification. The Department Head will submit the request to the City Council for budget, classification, and job description approval. Upon City Council approval, the City Clerk will advertise to fill the position according to policy. The City Clerk will refer the best-qualified applicants to the Department Head.

3.3 INTERNAL JOB POSTING PROCEDURES

The City Clerk shall be responsible for preparing vacancy notices. All classified vacancies above the entry level shall be announced by posting vacancy notices on City Hall bulletin boards. All classified vacancy notices shall be posted for at least five (5) working days for receipt of applications by the City Clerk. To receive consideration, an employee must submit a completed application to the City Clerk by the deadline for internal applications listed on the vacancy notice.

Only employees who have completed their probationary period may apply for internal job postings. After the closing date for receipt of applications, the City Clerk shall forward all applications and other relevant information on file concerning the internal applicants to the Department Head for consideration and review.

3.4 RECRUITMENT

The City Clerk is responsible for publicizing vacancies, developing sources of applicants, and coordinating recruiting activities. Upon receipt of an approved personnel request, the City Clerk will determine which recruitment sources and advertising media are most appropriate.

In cooperation with the Department Head, the City Clerk will prepare the text and layout of recruiting advertisements and place advertisements in the appropriate media. Vacancies which require external recruitment may be advertised by using a variety of sources including but not limited to: classified ads, public service radio or television, employment offices, local trade schools, junior colleges, educational institutions, postings at City Hall, and other relevant media for the purpose of informing as many qualified candidates as possible.

Advertisements for employment shall include a brief summary of the job, required qualifications, salary range, deadline for applications, contact information, mailing address for submissions, and the statement "An Equal Opportunity Employer." In addition, the advertisement shall also include a statement that the City of Loxley is a drug-free workplace.

3.5 APPLICATIONS FOR EMPLOYMENT

The City will accept applications for employment whenever a specific vacancy has been announced and advertised. Application forms shall be available at the City Hall in the office of the City Clerk and at other locations designated by the Mayor. Applications may be mailed, faxed, e-mailed or submitted in person to the City Clerk.

No person shall be considered for a position with the City until after the completion of an application form. Each applicant has the responsibility for submitting a properly completed application. Resumes will not be accepted in lieu of a properly completed application, but may be included with the application.

The City Clerk will receive, date, and record all completed applications. In addition, the City Clerk shall review each application for completeness. An application, once recorded, shall not be returned to the applicant. Persons who falsify or conceal information on an application may be disqualified for employment or terminated after employment.

3.6 INTERVIEWS AND SELECTION

Interviews will be scheduled at times mutually satisfactory to the requesting department and the applicant, when possible. The requesting Department Head or his/her designees will conduct interviews and determine the applicant's suitability for the position. If possible, the applicant may be given an opportunity to observe the department and its operations.

In filling classified vacancies above the entry level, first consideration will be given to qualified employees of the City. Promotion to a vacant position will be based on:

- Quality of past performance;
- Potential as determined by interview and/or examination procedures; and
- Seniority.

The City Clerk, Department Head, or an appropriate designee shall make appropriate inquiries of former employers and personal references only for those applicants tentatively designated as acceptable. Inquiries about employment may be made to the applicant's former employers. With the applicant's consent, inquiries may be made to the applicant's current employer.

Selection for each vacancy will be based on the knowledge, skills, and abilities required for the position. Positions will be filled, whenever possible, with the best qualified eligible applicants. If two or more applicants are equally qualified, the Department Head may choose which applicant he/she will select. However, selection will be made without regard to race, sex, age, national origin, creed, religion, or disability.

After a thorough review of all referred candidates, the Department Head shall decide which applicant to hire and complete an employment selection form provided by the City Clerk. The Department Head shall certify on the employment selection form that his/her selection of the candidate and the rejection of other applicants for the position were made on a non-discriminatory basis. The City Clerk shall conduct a thorough background check on the selected candidate and determine if the candidate is acceptable for employment with the City. The Mayor and the Department Head must sign a form confirming that a background check has been completed and that they have reviewed same and approve the hire. Once the candidate has been cleared for hire, the employment selection form shall be submitted to the Mayor for his approval and signature. The Department Head shall return all applications and interview notes related to the vacancy promptly to the City Clerk.

3.7 EMPLOYMENT OFFERS

Neither an employment commitment nor a salary offer shall be extended by anyone on behalf of the City unless:

- The City Council has included funding in the City budget;
- The candidate has been cleared for employment by the City Clerk; and
- The employment offer has the Mayor's approval and written authorization.

Upon written approval of the candidate by the Mayor, the Department Head will extend an offer of employment contingent on the candidate passing a pre-employment physical examination and drug screen. The physical exam and drug screen shall be provided at the City's expense and will be scheduled and coordinated by the City Clerk. The physical will be conducted by a physician appointed by the City and the drug screen will be conducted in accordance with the City of Loxley's Drug-free Workplace Substance Abuse Policy and Policy and Procedures.

The City Clerk will ensure that the required payroll forms are completed and will coordinate a new hire orientation and start date with the Department Head.

3.8 PROMOTIONS

3.8.1 GENERAL PROVISIONS

The City's intent is to remain cognizant of the need to fill each vacancy with the candidate who possesses the greatest potential for success. Whenever feasible, practical, and in the

best interest of the City, the City will fill vacant classified positions by the promotion or transfer of a current regular status (non-temporary) City employee who meets the required qualifications and who has demonstrated the potential for success before recruiting from outside sources.

A promotion is defined as the assignment of an employee to a more responsible position with a salary greater than his/her current salary range. A promotion shall involve a definite increase in duties and responsibilities and shall not be made for the purpose of providing an increase in compensation.

Promotional consideration shall be given to all interested, eligible employees. Promotion shall be based upon the employee's performance, merit, efficiency, qualifications, capabilities, and physical condition, if physical condition is a bona fide occupational qualification as reflected in the approved job description. The Department Head shall ensure that each person selected for promotion is eligible and qualified.

Nothing contained in this policy shall limit a Department Head from considering applicants from outside the City's service who have been referred as provided in the employment procedure.

3.8.2 PROBATIONARY PERIOD FOLLOWING PROMOTION

An employee who receives a promotion shall serve another probationary period in the new position. The probationary period will generally be for a 90-day period from the effective date of the promotion, but may be extended for training purposes. If the employee's performance during the probationary period is not acceptable, he/she may be reassigned to his/her previous position if the position is available.

3.8.3 PROMOTIONAL SALARY INCREASES

All promotions shall involve an increase in salary. The amount of increase will be determined based on the classification of the position and the place of that position on the pay grade plan. When an employee is promoted, his or her pay rate will be adjusted to that step in the new pay grade which is next higher than the former rate of pay.

3.9 TRANSFERS

Any classified employee, who has successfully completed the probationary employment period, may with the consent and approval of the respective Department Heads, be transferred to a similar position if available in a different department without serving an additional probationary period. Any employee interested in a transfer should contact the City Clerk.

3.10 TEMPORARY ASSIGNMENTS

A temporary assignment is a special assignment created as needed for a period usually not to exceed 179 days for such purposes as training, special projects, or for filling temporary vacancies. If approved by the Mayor, the employee may be given a salary adjustment for the period of the temporary assignment.

3.11 DEMOTIONS

An employee may be demoted to a position of lower grade for which he/she is qualified for any of the following reasons:

- His/her position is being abolished and he/she would otherwise be laid off due to a lack of work, a lack of funds, or a departmental reorganization;
- The employee has not demonstrated satisfactory performance in his/her current position; or
- The employee voluntarily requests a demotion.

A written notice stating the reason(s) and the effective date of an involuntary demotion will be provided the employee at least two (2) weeks prior to the effective date of the demotion. The notice must be signed by the Department Head and the Mayor prior to being issued.

The employee's pay will be established at the level of the classification of the lower position. The employee will retain his/her current step level.

An employee may appeal an involuntary demotion through the grievance procedure.

3.12 RE-EMPLOYMENT OF FORMER EMPLOYEES

If a former employee applies for re-employment, he or she will be evaluated based on past job performance and work record with the City, the circumstances surrounding termination of the former employee's previous employment, and the former employee's qualifications for the new position. The City generally will not rehire former employees who were dismissed for misconduct or unsatisfactory job performance or who "resigned" as defined under Personnel Policies **Section 8.2, Resignation.**

4.0 COMPENSATION

4.1 JOB CLASSIFICATION PLAN

To insure "like pay for like jobs, the City will establish and maintain a comprehensive job classification plan based on the type and complexity of the work performed. The classification plan shall list every eligible job within the City's service. Every position in the City shall be designated by the City Council as classified, unclassified, part-time, or temporary as appropriate. All unclassified positions shall be established by the City Council and kept to a minimum.

The official job classification plan shall be approved by the City Council and maintained in the Office of the Mayor. No deviations shall be made from the City's approved job classification plan unless approved in writing by the City Council. When circumstances warrant, the classification plan and/or the classification of a specific position shall be amended by the City Council. The City Clerk shall notify Department Heads and payroll personnel whenever the official job classification plan has been amended by City Council action. A copy of the approved job classification plan shall be considered an integral part of the City of Loxley's Personnel Policies and Procedures.

4.2 JOB DESCRIPTIONS

The City will develop and maintain a written job description for each position listed in the classification plan. The job description shall include the following: job summary; the responsibilities, duties, and essential functions of the position; and the knowledge, skills, and abilities required. When a new position is created, or a substantial change is made to an existing position, the City Clerk shall furnish to the Mayor a tentative description of the new or expected duties. Once approved by the Mayor, the City Clerk, in coordination with the Department Head, shall prepare a new job description, recommend the proper classification for the position, and obtain the City Council's approval. Current job descriptions shall be kept on file in the Office of the City Clerk.

4.3 COMPENSATION PLAN

The City will establish and maintain a comprehensive compensation plan for both classified and unclassified employees. The pay of all employees other than those whose pay is established by State Law shall be determined by the City Council. No deviations shall be made from the approved compensation plan unless approved in writing by the City Council.

The compensation plan lists the pay ranges for every job listed in the job classification plan. The pay plan includes a minimum and a maximum rate for each class of positions and such intermediate rates as the City Council considers necessary or equitable. The compensation plan for classified employees has ten (10) pay grades. Each pay grade has twenty-six (26) steps (Entry Level to 25). The City Clerk shall maintain the official master compensation plan for the City of Loxley. The City Council shall notify Department Heads and payroll personnel whenever the official compensation plan has been amended by City Council action.

4.4 HOURS OF WORK AND PAY SCHEDULES

City offices will be open for business as established by the City Council in coordination with other elected officials. Department Heads shall establish the workweek for their employees. In all instances, equal treatment of all employees doing the same kind of work is required. Employees shall be paid weekly, bi-weekly, or monthly as established by the City.

For most Departments, the minimum workweek for full-time employees shall be five (5) days of eight (8) hours per day. Some departments may establish a four-day work week with 10-hour workdays. In departments where twenty-four (24) hour service is provided seven (7) days per week, the number of regular hours worked may be up to one hundred six (106) hours in a fourteen (14) consecutive day work period.

4.5 OVERTIME PAY

All unclassified positions shall be exempt from overtime pay. With prior approval of the Mayor, an exempt salaried employee may be granted time off if particular assignments require significant extra work. In addition, certain classified positions are exempt from overtime as defined by the specific job description for these positions. Most classified positions with the City have non-exempt status as defined by the job description for the position and are therefore eligible for overtime pay.

Except for Police Department law enforcement officers, non-exempt classified employees who are required to work more than the standard 40-hour work week established for their position will be paid overtime in accordance with provisions of the Fair Labor Standards Act. Time and one-half pay will be paid for all hours over 40 hours worked in one week. Hours paid, but not worked, are not counted in computing overtime. For example, paid holidays, vacations, and sick leave are not hours worked and do not count in computing overtime. Employees are not allowed to take or accumulate compensatory time off in lieu of overtime pay.

4.5.1 OVERTIME FOR LAW ENFORCEMENT OFFICERS

The Police Department has an established fourteen (14) day work period for law enforcement officers. The work period consists of seven (7), 12-hour shifts during the 14-day work period. These officers are paid overtime pay at the rate of 1-1/2 times the officers' regular rate for all hours worked in excess of 84 hours in the 14-day work period.

4.6 TRAVEL AND TRAINING COMPENSATION

A program of educational training must have budget approval before the employee will be compensated or any monies for training paid on behalf of the employee.

An employee in approved travel status will be paid a mileage allowance established by the City Council for the use of his/her private vehicle.

An employee in approved travel status, which does not require an overnight stay, will be paid for actual allowable expenses for the trip.

An employee in approved overnight travel status will be reimbursed for verified allowable expenses.

Non-exempt employees, if required to participate in special training outside their regular scheduled work hours, will be compensated at 1.5 times their regular rate of pay for the hours of participation above 40 work hours in a week.

4.6.1 REIMBURSEMENT FOR PROFESSIONAL LICENSES AND MEMBERSHIPS IN PROFESSIONAL ORGANIZATIONS

Applicable to all employees within the City of Loxley who holds licenses, certifications or registrations issued by State or Federal agencies or widely recognized professional organizations or who belong to recognized professional organizations or societies.

Individual Registration, License and Certification Fees will be reimbursed when the following criteria are met:

1. The license, registration or certification is issued by a State or Federal Agency or a widely recognized organization. Examples are State licenses for Professional Engineers and Registered Architects, Plumber or Electrician Apprentice, Journeyman or Master Licenses, Commercial Driver's Licenses and Certified Public Accountant certification. Also included are certifications or licenses for pesticide/herbicide application, Water and Wastewater Treatment, etc. These licenses typically require periodic renewal and may require demonstrated continuing education.
2. The City of Loxley will pay or reimburse the cost to obtain required certifications and/or licenses including the cost of a study or preparation class one time. If an employee fails the exam/test to obtain the required certification and/or license, consecutive attempts to pass the same exam/test will be at the expense of the employee. If they pass the test and receive the certification and/or license, the City will reimburse the cost of the testing fee.
3. Employees who separate from service may be required to repay educational reimbursements according to a specified plan.

4.7 PERFORMANCE APPRAISALS

4.7.1 GENERAL PROCEDURES

- The performance of all regular status employees will be evaluated at least annually on or about the anniversary date of employment or as soon as practicable thereafter. An annual appraisal may or may not be accompanied by a salary increase, depending upon the employee's job performance, and budgetary considerations.
- Performance appraisals for regular status non-supervisory employees will be documented on a form approved the City Council. Performance evaluations for management and supervisory personnel will be documented on the appropriate form.
- All unclassified personnel will be evaluated on or about the anniversary date of their employment with the City or as soon as practicable thereafter.
- The employee's supervisor and/or Department Head, as appropriate, will review all written evaluations with the employee in a confidential meeting. The supervisor will provide advance notice of the meeting.

4.7.2 RATING SCALE

Each regular status employee will receive an overall performance rating. The rating scale for performance appraisals shall consist of the following:

- **Outstanding:** Work performance is consistently superior to standards required for the job.
- **Exceeds Expectations:** Work performance is consistently above the standard of performance for the position.
- **Meets Expectations:** Work performance consistently meets the standards of performance for the position.
- **Improvement Needed:** Work performance does not consistently meet the standards of performance for the position. Serious effort is needed to improve performance.
- **Unacceptable:** Work performance is inadequate and inferior to the standards of performance required for the position. Performance at this level cannot be allowed to continue.

4.7.3 INTERIM APPRAISALS

Interim appraisals are for the specific purpose of correcting performance deficiencies. Any classified employee with an overall annual performance rating of "Improvement Needed" may be given an interim appraisal(s) until his/her performance improves to an acceptable level or disciplinary action is taken. In addition, any classified employee may be given an interim appraisal if the employee's performance warrants.

4.7.4 CORRECTIVE ACTION PLANS

Any classified employee who receives an overall rating of "Unacceptable" must be given a detailed written Corrective Action Plan approved by the Mayor with a specific time period for improving job performance along with the standard performance appraisal. Failure to improve job performance to an acceptable level within a reasonable period of time will result in dismissal from employment.

4.8 MERIT REVIEW SYSTEM

4.8.1 DEFINITION OF MERIT INCREASE

Every regular status employee who is paid less than the maximum of the pay range for his/her position will receive consideration for a salary increase at least annually. Annual performance appraisals may or may not be accompanied by a salary increase depending upon an employee's job performance and overall rating, and budgetary considerations. Salary increases shall be based on merit and only granted when the Department Head certifies that the employee's performance merits recognition, and when the Mayor has approved the salary increase. In general, to be eligible for a merit increase, an employee must receive an overall performance rating of Meets Expectations (ME) or higher on his/her annual performance appraisal.

4.8.2 MONTHLY REVIEW LISTS

The City Clerk shall submit a monthly merit review listing to each Department Head of all employees eligible for an annual salary review during the following month. The listing includes all employees who have not received a salary increase for 12 months prior to the listed date. The types of salary increases that are considered in calculating the annual salary review date are: (1) annual salary increases, (2) probationary salary increases, and (3) promotional salary increases.

4.8.3 APPROVAL SIGNATURES AND PAYROLL CHANGE FORMS

The Department Head shall take immediate action on merit review lists.

The performance appraisal and a completed pay change form for each employee recommended for a salary increase must be returned to the City Clerk as soon as possible. If a salary increase is not recommended, a written explanation must be provided and included with the employee's appraisal.

The Mayor must review and approve any salary increase. The City Clerk shall return the appraisal, payroll forms, and other documentation to the Department Head once the Mayor's signature is obtained.

4.8.4 REVIEW OF PERFORMANCE APPRAISAL WITH EMPLOYEE

A performance appraisal and/or pay increases shall not be shared with any employee unless the Mayor's approval and signature have been obtained.

The Department Head shall ensure that the supervisor (where applicable) meets with each employee to review his/her appraisal.

If an increase is not recommended, the Department Head shall certify the following:

- That the reasons for not recommending a salary increase have been shared with the employee;
- That the employee has been counseled concerning the need for improving his/her performance; and
- That the employee has been counseled concerning the possibility that he/she may be granted an annual increase in a future month when performance reaches an acceptable level.

Generally, an employee who is not approved for an annual merit increase shall not be reconsidered for another year. However, the Department Head may reconsider the employee at any time during the year and request that the employee's name be restored to the salary review list. The Department Head will send a written request to the City Clerk to restore the employee's name to the list. The request must include the statement that the conditions which caused the recommendation to be withheld no longer exist. The City Clerk, after receiving the recommendation, will add the employee's name to the review list for the following month.

4.8.5 EFFECTIVE DATE OF MERIT INCREASES

Annual salary increases are effective the first full pay period after the effective date of the performance appraisal.

Employees who have been reinstated to the review list will be granted an annual increase, if approved, the first full pay period after the employee has been reinstated to the list.

If an employee is reinstated to the list, the new date becomes the employee's annual review date for future appraisals.

4.8.6 ANNUAL EMPLOYEE INCENTIVE PLAN

On the last pay period in November, City employees will receive annual incentive pay based on years of continuous service. To be eligible to receive incentive pay the employee's anniversary date must fall on or before the last day of the last pay period in November. The pay shall be computed according to the following schedule and is determined as of their anniversary date.

Part-time Employees	\$200.00
1-4 Years	\$700.00
5-8 Years	\$1,000.00
9-12 Years	\$1,200.00
13-16 Years	\$1,300.00
17-20 Years	\$1,500.00
21 Years & Up	\$1,800.00

5.0 TIME OFF POLICIES

5.1 HOLIDAYS

5.1.1 HOLIDAY PAY FOR GENERAL EMPLOYEES

The following days shall be observed as official paid holidays for employees of the City.

New Year's Day	January 1
Martin Luther King's Birthday	January (Third Monday)
President's Day	February (Third Monday)
Good Friday	(March or April)
Memorial Day	May (Last Monday)
Juneteenth	June 19
Independence Day	July 4
Labor Day	September (First Monday)
Veteran's Day	November 11
Thanksgiving	November (Fourth Thursday and Friday)
Christmas	December 24 and 25

At the beginning of each fiscal year (or at such other times as determined by the City Council), the City Council may designate additional holidays that the City will observe. The City Council will publicize the additional holidays at that time.

When an official holiday falls on Sunday, the holiday shall be observed on the Monday after the holiday. When an official holiday falls on Saturday, the holiday shall be observed on Friday before the holiday.

City employees are expected to work the scheduled workday immediately preceding and following a holiday in order to be eligible for holiday pay. If an absence is unauthorized or unexplained for these days, the holiday will not be paid.

The pay treatment that will be given to all City Employees, classified as a full-time employee, will be 8 hours compensation at their present rate of hourly pay. This pay treatment will only be given for official holidays.

Because many essential City services must be provided on holidays, employees may be scheduled to work on a holiday. If so, the employee will be paid one and one-half times his/her regular rate of pay for all hours worked on the official holiday. However, if the official holiday is on a weekend and is not observed on the holiday itself, then the employee will be paid the one and one-half rate for hours worked on the observation of the holiday, unless they worked on the holiday itself, in which case they will only receive one and one-half times his/her regular rate for the time worked on the official holiday.

5.2 BEREAVEMENT LEAVE

In the unfortunate event of the death of an immediate family member, a regular status employee, classified or unclassified, may request bereavement leave not to exceed a total of 24 hours per calendar year. Immediate family members shall include spouse, children, parents, sisters, brothers, grandparents, grandchildren, mothers-in-law, fathers-in-law, sons-in-law, and daughters-in-law.

The qualifying period for bereavement leave shall include the day of the death and extend through the day of the funeral. Bereavement leave shall be paid only for regularly scheduled work hours missed and not for any other days such as holidays or scheduled days off. An employee may not receive pay in lieu of taking bereavement leave.

Depending on circumstances, the City reserves the right to require proof of death and the relationship to the deceased.

If additional time is needed, an employee may request vacation leave.

5.3 JURY DUTY LEAVE

A leave of absence for jury duty will be granted to any employee who has been notified to serve on a jury.

Full-time classified and unclassified employees will receive paid leave for the time of actual verifiable jury service. Temporary employees shall receive unpaid time off for jury service. Any regular status employee on jury duty leave is expected to report to work for any day or partial day that he/she is excused from jury duty unless vacation leave is approved in advance.

Upon receipt of the notice to serve on a jury, the employee will immediately notify his/her supervisor. A copy of the jury duty notice should be attached to the employee's attendance record for the week. Upon return to work, the employee shall submit a signed Certificate of Jury Service verifying the days or hours served to his supervisor, who shall forward a copy to the City Clerk.

5.4 VOTING LEAVE

All classified and unclassified employees shall be granted leave with pay in order to vote. The length of time granted for voting shall be a reasonable amount of time necessary to vote and is normally granted at the start or the end of a workday.

Temporary and part-time employees shall be granted unpaid leave to vote.

5.5 CIVIL AND LEGAL LEAVE

Civil and legal leave is paid leave granted to an employee for court attendance as a witness in cases which do not involve the employee's own personal litigation. An employee pursuing his own personal litigation shall be required to take vacation leave.

An employee's required attendance in court as a representative of the City in an official capacity shall not be considered as leave. The provisions of any law or departmental rule that requires witness fees to be turned in to the City shall be observed.

5.6 MILITARY LEAVE

5.6.1 GENERAL PROVISIONS

The City shall comply with all aspects of the Uniformed Services Employment and Reemployment Rights Act of 1994, herein after referred to as USERRA. The City shall not discriminate against any employee or job applicant with regard to hiring, retention, promotion or any benefit of employment because of past, present, or future application for or membership in the uniformed services. USERRA applies to both voluntary and involuntary military service.

5.6.2 SHORT-TERM MILITARY LEAVE

A classified or unclassified employee, who is an active member of the Alabama National Guard, naval militia, or the Alabama state guard organized in lieu of the national guard, or of any other reserve component of the armed forces of the United States, shall be entitled to a military leave of absence from their respective duties on all days that they shall be engaged in field or coast defense, or other training, or other service ordered under the provisions of the National Defense Act, or the federal laws governing the United States reserves without loss of pay, service time, seniority, vacation leave, sick leave and all other applicable benefits.

5.6.2.1 Compensation While on Short-Term Military Leave

- In accordance with the *Code of Alabama* 1975 Title 31-2-13, no such person granted such a short-term leave of absence with pay shall be paid for more than 168 working hours per calendar year. However, such persons shall be entitled, in addition to the above, to be paid for no more than 168 working hours at any one time while called by the Governor to duty in the active service of the state.
- No employee will be required to use paid vacation leave for military duty, but has the option of applying paid vacation if the employee would otherwise be on unpaid leave.

5.6.2.2 Benefits While on Short-Term Military Leave

- All applicable benefits shall continue as if the employee were continuously employed.

5.6.3 CALL TO ACTIVE DUTY LEAVE

A classified or unclassified employee, who serves in an active-duty deployment with the Armed Forces of the United States, shall be granted a leave of absence for a period of up to five years in accordance with USERRA.

5.6.3.1 Benefits While on Active-Duty Leave

- No employee shall be required to use paid vacation for military duty, but employees who do elect to schedule their vacations to coincide with military duty

will receive their full regular vacation pay in addition to any pay from the military.

- Time spent on active military duty will be credited to all employees toward meeting the length of service requirements for vacation leave accrual rates. However, employees do not accrue paid vacation leave and paid sick leave while in an unpaid leave status. Such benefits are based on actual work performed and not seniority.
- The employee shall have the option of continuing his or her medical insurance and dental insurance through the City while on active duty status. The employee shall be expected to pay the employee's regular monthly portion of the premium in effect at the time of his/her leave.
- Time spent on active military duty will be credited to all employees toward meeting the length of service requirements for eligibility for retirement benefits. However, both employer and employee contributions are suspended during military service because the employee is not in a pay status. When the employee returns from military leave, he/she has a finite period of time to purchase the military service, and the City is required to also provide the employer's contributions.

5.6.3.2 Re-employment

In accordance with USERRA, upon release from military service the employee shall be re-employed by the City in the same or comparable position with no change in grade or salary provided that reinstatement is not impossible or unreasonable based on the employer's present circumstances. A reduction in force that would have included the person would be an example of a change in employer's circumstances.

A returning employee shall also receive any cost of living raise implemented during his/her military leave of absence.

To be eligible for re-employment, the employee must meet the following eligibility requirements:

- The employee must make application for reemployment to the City within 14 days following active duty military service of 31 to 180 days.
- The employee must make application for re-employment to the City within 90 days after completion of active duty military service of 181 or more days.
- The employee must be physically and mentally capable of performing the essential functions of the position with or without reasonable accommodation.
- The application deadline for re-employment may be extended for up to two years for persons who are hospitalized or recovering due to a disability incurred or aggravated during military service.

5.6.3.3 Seniority upon Return to Work

Re-employed service members shall be entitled to the seniority and all rights and benefits based on seniority that they would have attained with reasonable certainty had they remained continuously employed. This provision shall also apply to any seniority-based privileges for shift assignments, work assignments, or other working conditions which have been established by any Department of the City.

5.6.3.4 Replacements for Military Leaves

Any individual hired to perform the duties of an employee on military leave shall be hired as a temporary employee and shall sign a letter of understanding that he/she will be subject to lay-off when the employee on leave returns to work. A temporary

employee hired to fill a military leave shall be eligible for City benefits provided that the employment period shall be for 180 days or longer.

5.7 VACATION LEAVE

5.7.1 GENERAL PROVISIONS

All employees of the City shall earn vacation leave with pay in accordance with these procedures; however, employees will not be allowed to use accrued vacation time until after the completion of their probationary period.

5.7.2 CALCULATION OF VACATION LEAVE

The City provides paid vacation for all eligible full-time employees who have completed the required probationary period. Employees are eligible for paid vacation each year based on the employee's years of service from the last hire date. Without prior written authorization from the Mayor, no employee may take paid vacation until he or she has successfully completed their 90-day probationary period. Annual paid vacation may not be carried over from one year until the next. Employees must be actively employed by the City to accumulate paid vacation and do not accumulate paid vacation while on unpaid leave of absence in excess of thirty (30) days. For the purpose of calculating vacation leave, the following schedule shall be used:

Years of Service	Days of Vacation Per Year	Hourly Equivalent Regular Full-Time Employees	Hourly Equivalent Police Officers and Police Dispatchers
After successful completion of 90-day probationary period throughout first year of employment	5	40	42
After continuous employment of two (2) years	10	80	84
After continuous employment for five (5) years	15	120	126
After continuous employment for ten (10) years	20	160	168
After continuous employment for fifteen (15) years	25	200	210

5.7.3 SCHEDULING FOR VACATION LEAVE

While the City seeks to grant vacation leave on the dates the employee requests, circumstances may arise that necessitate denying a vacation request or withdrawing approval of a request previously granted. The employee should schedule vacation through his or her supervisor and Department Head.

Vacation requests should be completed and submitted at least two (2) weeks before the requested vacation date(s). Each Department Head is responsible for scheduling employee's vacation without undue disruption to City operations and services. Employees may be denied permission to take vacation if the dates requested will, in the judgement of the Department Head, unduly disrupt operations. Length of continuous service generally will determine granting requests for vacation leave if two or more employees timely request the same dates and, in the judgement of the Department Head, the City cannot grant all requests. Vacation will not be granted in excess of accumulated vacation eligibility.

The City encourages employees to take their earned vacation time, but may request time to be cashed out with approval from the employee's Department Head.

5.7.4 NO VACATION LEAVE CARRYOVER

Employees shall not carry over their vacation leave from one calendar year to another and shall not accumulate vacation time. Employees who resign in good standing with two weeks prior written notice of resignation, who retire or who are dismissed for reasons other than misconduct, will be paid for accumulated but unused vacation. All vacation time shall be scheduled with the approval of the Department Head.

5.8 SICK LEAVE

5.8.1 GENERAL PROVISIONS

Sick leave is not a benefit payable on demand, but is provided to insure that any employee who is unable to work due to illness does not feel compelled to do so for financial reasons. Any unjustified or fraudulent claim for sick leave may be punished by loss of pay, the charging of vacation leave, and/or disciplinary action up to and including dismissal.

All classified, unclassified, and probationary employees shall earn credit for paid sick leave at the rate of one day for each month worked. An employee may accrue sick leave without limitation. Upon termination an employee will not be paid for accumulated sick leave. Upon retirement an employee will receive credit for all accumulated sick leave.

Sick leave will be charged in units of hours and days. When a paid holiday occurs during the period an employee is on sick leave with pay, the employee shall receive his /her regular holiday pay and that day shall not be charged against his/her sick leave. At the employee's request, any accrued vacation leave may be applied and extended for illness as if it were sick leave. Sick leave days will not be earned while an employee is in non-pay status.

Sick leave with pay, if accrued, may be granted for the following reason(s):

- When an employee is unable to work due to personal illness or injury incurred off duty.
- When the employee's presence may endanger the health of fellow workers.
- When the employee is part of an enforced quarantine in accordance with community health regulations.
- For appointments with a doctor, dentist, optometrist, or other health care provider.
- Maternity Leave - Pregnancy will be treated as any other incapacitating illness. Pregnant employees cannot be required to use their vacation leave for maternity leave in lieu of sick leave except that City policy requires both paid vacation leave and paid sick leave be used concurrently with any absences that qualify under the Family Medical Leave Act Policy. A pregnant employee cannot be forced to begin maternity leave early because of negative feelings of co-workers or customers. All consideration for maternity leave will be made without reference to marital status. If a pregnant employee is temporarily unable to perform some job tasks, she will be excused from those tasks so long as she can still perform the majority of her job duties.
- The illness or incapacitation of an immediate family member whose illness requires the employee's care. For the purpose of this policy, immediate family shall be defined as spouse, son, daughter, mother, father, brother, sister, mother-in-law, father-in-law, step-mother, step-father, step-children, and/or guardian.

In order to receive pay for sick leave, an employee must meet the following conditions:

- The employee shall personally notify his/her immediate supervisor of his/her absence within two (2) hours of his/her usual reporting time, or as soon as possible

thereafter, of his/her inability to report to work.

- Failure to provide timely and appropriate notification may be cause for denial of sick leave with pay for the absence and may also result in disciplinary action depending on circumstances. Denial of sick leave shall result in the employee being charged with vacation leave or placed in some non-pay status at the discretion of the Department Head.
- For periods of absence in excess of three (3) consecutive workdays, an employee shall be required to submit a medical certificate signed by a licensed physician. The medical certificate must include the doctor's certification that the employee has been incapacitated for work for the period of absence, the nature of the employee's sickness or injury, and when he/she will again be physically able to perform his/her duties. A medical certification may also be required if the employee is absent due to the illness of an immediate family member. In addition, a Department Head has the discretion to require a medical certificate for every absence for which the employee has requested sick leave.

5.8.2 ADVANCED SICK LEAVE

The Department Head may grant advanced sick leave with pay up to a maximum of six (6) days under the following conditions:

- Serious disability or illness; and
- All accrued leaves (sick, vacation, etc.) have been used; and
- The absence from work is for six (6) days or more; and
- The request for advanced leave is supported by a doctor's certificate.

Any advance of sick leave must be reported to Office of the Mayor on the Department's attendance report. If an employee receives advanced sick leave and then leaves employment, the employee shall be required to reimburse the City for the advanced leave. The amount owed the City will be computed at the employee's salary rate at the time of the advancement. The amount to be collected will be withheld from the employee's final compensation, but if this amount is insufficient, the individual shall be required to reimburse the City. If he/she refuses to do so, the City shall recover the money through legal action.

5.8.3 SICK LEAVE CARRYOVER

City employees may accumulate and carryover an unlimited number of sick leave days. Upon separation from employment, all accrued sick leave will be forfeited, except as follows:

- A retiring employee with ten (10) years or more of continuous service at the time of retirement may elect to be paid for one-eighth (1/8) of his/her accrued sick leave or apply a portion of the leave to retirement service credit.
- If an employee is separated from employment in good standing, he/she shall, upon written request, receive credit for prior unused accrued sick leave if reinstated to employment within twelve months of the original separation.

5.8.4 CONVERSION OF UNUSED SICK LEAVE TO RETIREMENT CREDIT

In accordance with Act 88-904 of the Second Special Session of the 1998 Alabama Legislature, an employee at the time of his/her retirement may elect to:

- convert unused sick leave to retirement credit, or
- be paid for one-eighth (1/8) of the accrued sick leave, provided he/she has ten (10) or more years of continuous service.

Below is an explanation of the provisions of Act 88-904 and a chart used by the Retirement System to convert unused sick leave to retirement credit:

- The Act allows certain members of the Employees' Retirement System to convert unused sick leave to retirement credit. The Act applies only to employees who, after converting unused sick leave days to service credit, meet the minimum qualifications for service retirement. The minimum qualifications for service retirement are age 60 with at least 10 years of creditable service or 25 years of creditable service, regardless of age.
- A member retiring on disability may convert unused sick leave to retirement credit only if he/she meets the minimum qualifications for service retirement. Conversion of unused sick leave may not be used for deferred retirement.
- Members who are entitled to payment at retirement for accrued unused sick leave may elect to receive retirement credit in lieu of payment of sick leave.

The following chart will be used by the State Retirement System to convert sick leave to retirement service credit. Please note that the chart lists accrued sick leave days through 370. However, the maximum number of days an employee with the City may convert is unlimited.

<u>Accrued Sick Leave Days</u>	<u>Service Credit (in Months)</u>
0 - 10	0
11 - 30	1
31 - 50	2
51 - 70	3
71 - 90	4
91-110	5
111-130	6
131-150	7
151-170	8
171-190	9
191-210	10
211-230	11
231-250	12
251-270	13
271-290	14
291-310	15
311-330	16
331-330	17
351-370	18

5.9 LEAVE UNDER THE FAMILY & MEDICAL LEAVE ACT

5.9.1 GENERAL PROVISIONS

In compliance with the Family Medical Leave Act of 1993, the City shall grant up to 12 weeks of family and medical leave in a 12-month period to eligible employees. This leave may be paid, unpaid, or a combination of paid and unpaid leave depending on the circumstances of the leave, and as specified by this policy.

This policy is not intended to create any entitlements for employees greater than those required under the Family and Medical Leave Act of 1993. In the event there is a conflict between the City policy and any requirements set forth in the Family and Medical Leave Act Federal regulations, the regulations shall prevail.

5.9.2 ELIGIBILITY

In order to qualify to receive family or medical leave under this policy, the employee must meet all of the following conditions:

- Must have worked at least 1,250 hours during the 12-month period immediately preceding the date for the commencement of the leave (time worked must be actual hours worked and shall not include any paid or unpaid leave time);
- Must have been employed for at least a total of 12 months with the City;
- Must provide a written medical certification for the leave, upon request;
- Must provide at least 30 days advance notice if the leave is due to the birth of the employee's child or the placement for adoption or foster care of a child; and
- Must provide at least 30 days advance notice, if the leave is foreseeable and due to a serious health condition. If the leave is not foreseeable, an employee shall provide as much notice as is reasonable and possible.

5.9.3 TYPE OF LEAVE COVERED

To qualify for family and medical leave, the employee must be requesting the leave for one of the following reasons:

- The birth of the employee's child and in order to care for that child;
- The placement of a child for adoption or foster care with the employee and to care for the child;
- To care for the employee's spouse, parent, or child under age 18 with a serious health condition; or
- The serious health condition of the employee.

5.9.4 DEFINITION OF SERIOUS ILLNESS

For purposes of this policy, a serious health condition is defined as:

- An illness requiring in-patient care at a hospital or medical treatment facility; or
- A condition which requires continued treatment by a health care provider; or
- A period of incapacity or treatment due to a chronic serious health condition; or
- A period of incapacity due to illness for more than three workdays.

This policy is not intended to cover minor illnesses or medical procedures, where the treatment and recovery are brief, and generally part of an incidental sickness or absence.

5.9.5 AMOUNT OF LEAVE

The year for FMLA purposes shall be a calendar year. Any FMLA qualifying leave taken will be charged against the employee's total allocation for the calendar year.

An eligible employee may take up to 12 weeks of family and medical leave during any one calendar year except that spouses who both work for the City are limited to a combined total of 12 weeks of leave for the birth of a child, adoption of a child, or placement in foster care of a son or daughter.

5.9.6 INTERMITTENT LEAVE OR A REDUCED WORK SCHEDULE

An employee may take FMLA leave in 12 consecutive weeks, or use the leave intermittently such as taking a day periodically through the year, or under certain circumstances use the leave to reduce the work week or work day. In all cases, the leave may not exceed a total of 12 work weeks during the calendar year.

The City may temporarily transfer an employee to an available alternative position with equivalent pay and benefits to better accommodate the intermittent leave or reduced work schedule.

For the birth, adoption, or foster care of a child, the City and the employee must mutually agree to the schedule before the employee can take the leave intermittently or work a reduced hour schedule. If leave is taken for the birth of the employee's child or for adoption or foster care of a child, the leave must conclude within the 12-month period following the birth or placement for adoption or foster care.

If the employee is taking leave for a serious health condition or because of the serious health condition of a family member, the employee should try to reach agreement with the City before taking intermittent leave or working a reduced hour schedule. If this is not possible, then the employee must show that intermittent leave is medically necessary.

FMLA leave may be paid or unpaid depending on the employee's specific circumstances. All types of accrued paid leave including paid sick leave and vacation leave must be used in conjunction with FMLA leave. Thus, an employee who has 12 weeks of FMLA leave and one week of accrued sick leave will have a total of 12 weeks of FMLA leave with one week paid as sick leave pay and the other 11 weeks will be unpaid.

5.9.7 PROCEDURES FOR TAKING LEAVE

An employee may either request FMLA leave or the City may identify an employee's absence as an FMLA leave of absence.

Each employee shall complete an FMLA Request form for any period of absence from work for four or more consecutive work days for either the employee's illness or the serious health condition of a family member. If the leave is foreseeable, the employee shall complete an FML Request Act Form at least 30 days in advance of the leave date. If the leave is unforeseeable, the request form shall be completed as soon as practical.

If the leave is due to the employee's own serious health condition, the City shall request written medical certification of the serious health condition. If the leave is due to the serious health condition of a child, parent, or spouse, the employee is required to bring written medical certification that he/she is needed to care for the family member. The employee and the health care provider must complete a medical certification form provided by the City Clerk. The City requires the right to request a second medical opinion if it has reason to doubt the certification. The City will pay for the employee to obtain certification from a second doctor selected by the City.

Employees must provide written medical certification of the need for leave no later than 15 days following the request for certification. Failure to provide written certification upon request may result in the denial of leave. Additionally, the City may request additional medical certifications if the leave is to be extended beyond the original request period.

5.9.8 BENEFITS DURING LEAVE

While an employee is on leave, the City will continue the employee's health benefits, including dental benefits, during the leave period at the same level and under the same conditions as if the employee had continued to work, provided the employee pays his/her portion of the monthly premiums. The employer's continuance of health insurance plans during a family or medical leave is required by Federal Law regardless of whether or not the leave is paid or unpaid leave.

An employee may elect to discontinue group medical and dental insurance coverage during the leave period. When the employee returns to work, he or she is entitled to reinstatement to the group medical and dental plans on the same terms as before taking leave without any qualifying period, physical examination, or exclusion of pre-existing conditions.

If an employee chooses to retain his/her group medical and dental insurance coverage during the leave period, the employee must notify the City Clerk. If the employee retains coverage, the employee is required to pay a portion of the monthly health and dental premiums. The City will continue to make payroll deductions to collect the premiums while the employee is on paid leave. If the employee is on unpaid leave, the employee must continue to make his/her premium payment either in person or by mail. The payment must be received by the Office of the City Clerk by the first day of the month. If an employee fails to pay his or her portion of the premiums for medical and/or dental insurance, coverage shall terminate for both the employee and his/her dependents thirty days after the payment is due.

If the employee contributes to a life insurance plan and disability plan, the City will continue making payroll deductions while the employee is on paid leave. If the employee is on unpaid leave, an employee may request continuation of such benefits, and pay his/her portion of the premiums. If the employee fails to pay premiums, the City discontinues coverage during the leave.

5.9.9 RETURNING FROM LEAVE AND REINSTATEMENT

An individual hired to perform the duties of an employee granted an extended FMLA leave of absence shall be hired as a temporary employee and shall sign a letter of understanding that he/she is subject to lay-off when the employee on leave returns.

Any employee on leave status shall remain in contact with the City regarding the expected duration of the leave and anticipated date of return to work. At the completion of the leave, the employee will be eligible to return to his or her former position or an equivalent position

with the same grade and pay step unless the employee would have been transferred, laid off, or terminated had the employee not taken leave. In addition, the employee's seniority, job status, compensation, and all other rights and privileges of employment will be restored.

If the leave was due to the employee's serious health condition, the City shall require, for safety reasons, that the employee provide a "Return to Duty" medical certification that he/she can safely perform his /her job duties prior to returning to work. If an employee is unable to return to work following the leave period, the employee shall provide advance notice to his/her supervisor and the City Clerk and make a written request for an extension of the leave.

If an employee fails to return to work following the leave period, the employee shall be considered a voluntary quit unless: 1) the failure to return is beyond the employee's control or due to another verifiable serious health condition, or 2) the employee has made a written request for a leave extension.

If an employee fails to return to work following the leave period, the employee shall be required to reimburse the City for the City's share of the employee's health and dental premiums paid during the leave.

Questions concerning Family Medical Leave should be directed to the City Clerk.

5.10 LEAVE OF ABSENCE WITHOUT PAY BEYOND FMLA

5.10.1 GENERAL PROVISIONS

A leave of absence without pay may be granted beyond the 12 weeks of FMLA leave for an additional period not to exceed three months. An unpaid leave of absence beyond FMLA leave will not be granted until all other types of paid accrued leave have been exhausted. Reasons for such leave include, but are not limited to: short-term sickness, disability, or other reasons of a similar or critical nature. For the purpose of this policy, an authorized leave of absence related to worker's compensation shall not be considered as an unpaid leave of absence.

To apply for an extended leave of absence without pay beyond FMLA leave, the employee must submit a Request for Unpaid Leave form to the City Clerk along with medical certification from his or her physician or other recognized authority. If possible, this request shall be submitted in advance of the leave. The City Clerk shall submit the request to the Department Head and the City Council for consideration. To be approved, the leave must not cause undue hardship on the City and must have the approval of the Department Head, the City Council, and the Mayor. The City Clerk shall notify the employee of the City's final decision.

5.10.2 BENEFITS DURING AN UNPAID LEAVE BEYOND FMLA

If an employee is on leave without pay beyond FMLA leave for more than 30 days, the employee is eligible to continue medical and dental insurance under the provisions of COBRA, but shall be required to pay the entire monthly premium plus any administrative fees required.

No other benefits shall accrue to an employee on leave without pay granted beyond the FMLA leave period including but not limited to vacation leave, sick leave, and retirement service credit.

5.10.3 REINSTATEMENT TO EMPLOYMENT

An individual hired to perform the duties of an employee granted leave without pay shall be hired as a temporary employee and shall sign a letter of understanding that he/she is subject to lay-off if the employee on leave returns.

Any employee on leave status is expected to remain in contact with the City regarding the expected duration of the leave and his/her anticipated date of return to work. When the leave of absence expires, the employee shall be reinstated to the position and pay step held before

the leave of absence unless the position has been eliminated due to a reduction in force or reorganization. In addition, the employee's seniority, job status, compensation, and all other rights and privileges of employment will be restored.

If necessary to ensure effective service, the City may require an employee granted leave without pay beyond FMLA to return to work before the expiration of the leave. If an employee fails to return after being required to return, the employee is considered to have resigned and may be separated from employment.

Failure of an employee granted leave without pay to report for duty promptly at the end of the leave will be grounds for dismissal.

5.11 ADMINISTRATIVE LEAVE

During the investigation of unauthorized conduct or misconduct and during the course of any disciplinary proceeding that may result in dismissal, an employee may be placed on administrative leave with pay by the employee's Department Head with the approval of the Mayor.

6.0 ON-THE-JOB POLICIES

6.1 NEW HIRE ORIENTATION

6.1.1 GENERAL ORIENTATION

New employees shall attend a new hire orientation program conducted by the City Clerk which shall include all related tax forms, required Federal and State forms, retirement forms, and benefit forms.

Each employee will be given a copy of the following information and shall sign an acknowledgement of receipt form:

- City of Loxley Personnel Policies and Procedures;
- City of Loxley Alcohol and Substance Abuse Policy;
- City of Loxley Safety Policy; and
- Emergency Medical Protocol

In addition, each employee will receive a copy of his/her job description and literature concerning City benefits.

6.1.2 DEPARTMENTAL ORIENTATION

The supervisor shall introduce the new employee to all employees within the Department and explain the interaction of various functions and the importance of each employee's contributions. The supervisor shall meet with the new employee to discuss work relationships, work habits, safety, and policies that affect the employee and the department. The supervisor shall familiarize the employee with all aspects of the work environment and facility including but not limited to: break room, restrooms, equipment, safety policies and procedures, potential safety hazards, time clocks if applicable, and standard operating procedures. The supervisor shall explain the job to be performed and how the new employee will be trained and evaluated during the probationary period.

6.2 PROBATIONARY PERIOD

6.2.1 GENERAL PROVISIONS

Every new employee goes through an initial period of adjustment or acclimation. During this period, the employee will have the opportunity to determine if he or she is suited for the new position, and the supervisor will have the opportunity to evaluate the employee's

performance. The probationary employment period shall be regarded as an integral part of the training and evaluation process and shall be utilized for closely observing an employee's work, for obtaining the most effective adjustment of the new employee to his/her position, and for separating any new employee whose performance does not meet required performance standards.

In general, every new employee hired for a classified position completes a probationary employment period of 90 days. However, the City reserves the right to terminate an employee at any time during the probationary employment period for any reason if it is in the best interest of the City. The Department Head shall provide written notice and justification to the Mayor of his/her intent to terminate a new hire within the probationary period. Such termination shall require the Mayor's concurrence and approval before implementation. A written notice of rejection shall be furnished to the terminated employee.

In addition, the City reserves the right to extend the probationary period when necessary for an additional 90 days. If necessary for required training, the Police Chief may extend the initial probationary period up to an additional 90 days if necessary for required training. If a position requires outside certification, the employee will remain in probationary status until obtaining the certification within the time frames established by the certifying agency. In addition, other Department Heads may extend the probationary period if reasonable and appropriate. Lengthy absences during the probationary period are discouraged and shall be granted only in justifiable situations. Excused absences in excess of two weeks will also extend the probationary period by an amount equal to the period of absence.

6.2.2 PERFORMANCE EVALUATIONS DURING THE PROBATIONARY PERIOD

The supervisor shall evaluate the performance of each new employee at least twice during the probationary period. The first rating shall be conducted no later than the end of the first 45-day period, and the second evaluation shall be conducted before the completion of 90 days of employment. The rating period may be adjusted according to any increase in the probationary employment period.

The supervisor will complete a New Employee Performance Review Form in a format established by the Office of the Mayor. The evaluation form will include required improvements if the employee's performance has not met acceptable standards. The employee shall sign the written evaluation form. The completed evaluation will be forwarded to the City Clerk for the employee's personnel file.

6.2.3 APPOINTMENT TO THE CLASSIFIED SERVICE AND SALARY INCREASE

Employees who satisfactorily complete the probationary employment period may be considered for a salary increase. Along with the employee's final performance evaluation, the Department Head shall recommend whether or not the employee's status should be changed from probationary to classified and whether or not he/she should receive a salary increase.

Appointment to the classified service shall be based upon:

- Satisfactory job performance;
- Personal conduct compatible with trust inherent in public service;
- Necessity for performance of the work; and
- Availability of funds.

The employee's status shall not be changed from probationary to classified until the Department Head certifies that the employee's service has been satisfactory, and the Mayor approves the status change in writing. In addition, the Mayor must approve any increase in salary. All salary increases, if approved, become effective at the beginning of the first pay period after the expiration of the probationary period.

6.3 SAFETY POLICY

6.3.1 SAFETY POLICY

The City is committed to establishing and maintaining a safe working environment for all employees and to comply with applicable safety and occupational health laws. To this end, the City has developed a written Safety Policy. The Safety Policy, although a separate document, shall be considered as an incorporated part of the City of Loxley Personnel Policies and Procedures contained herein. Each employee shall be provided a copy of the City's Safety Policy and shall sign an acknowledgement form.

6.3.2 MANAGEMENT AND EMPLOYEE RESPONSIBILITY

The City's objective is ZERO accidents; therefore, the City expects the cooperation of all Department Heads, supervisors, and employees to achieve this goal. If there is a question of priorities, safety comes first.

Our Department Heads and supervisors are responsible for the safe operation of machinery and equipment in their departments and the safe work practices of employees on the job. Each supervisor shall ensure that each of his employees is familiar with the City's Safety Policy and any departmental standard operating procedures.

Employees shall be trained to work safely and will be expected to work safely at all times. Unsafe working conditions or work practices must be reported immediately to the supervisor. Each employee is responsible for knowing and understanding all general safety regulations and procedures as well as departmental standard operating procedures.

6.3.3 ACCIDENTS AND EMERGENCIES

All employees will be provided first aid and emergency service for any injuries and illnesses that occur while on City property. If an employee is injured in an accident while performing his/ her job duties, the City provides benefits in accordance with the Alabama Worker's Compensation Law.

All City employees are required to report all on-the-job accidents including City vehicle accidents, with or without injury, to their supervisors immediately. The Supervisor will send the employee to a City-authorized physician for medical attention in accordance with the City's written emergency medical protocol. A copy of the City's Emergency Medical Protocol shall be provided to each employee.

Failure to report an accident promptly or to follow established procedures for treatment will be considered a serious policy violation and subject to disciplinary action. In addition, failure to follow procedures for reporting an accident and/or using unauthorized physicians, health care providers, or pharmacies may result in worker's compensation benefits being denied.

6.4 ALCOHOL AND SUBSTANCE ABUSE POLICY

The City of Loxley is committed to maintaining a drug-free workplace and has developed a detailed and comprehensive written Drug-Free Workplace Substance Abuse Policy and Procedures. This policy requires pre-employment testing, random testing, reasonable cause testing, post-accident testing and follow-up testing. The City's Drug-Free Workplace Substance Abuse Policy and Procedures, although a separate policy manual, shall be considered as an incorporated part of the City's Personnel Policies and Procedures contained herein.

Upon being hired, each employee shall receive a copy of the City's Drug-Free Workplace Substance Abuse Policy and Procedures and shall sign a receipt of acknowledgement form. All employees shall receive annual training related to alcohol and substance abuse and the City's Employee Assistance Program.

6.5 CONFLICTS OF INTEREST

The City expects all employees to conduct business according to the highest ethical standards of conduct. Employees are expected to devote their best efforts to the interests of the City. Business dealings that appear to create a conflict of interest or give the appearance of impropriety are unacceptable.

The purpose of this policy is:

- To identify those activities which are not consistent with high standards of public service and contrary to the best interests of the City, and
- To protect employees and the City from potential conflicts of interest.

All City employees are subject to these provisions. Activities considered to be a conflict of interest include but are not limited to the following:

- Failure to comply with the Alabama Ethics Law (*Ala. Code* § 36-25-1 et seq. (1975)) regarding filing of annual statements of economic interests and/or engaging in any activity considered a violation of Alabama Ethics law.
- The use of one's position and influence to promote business with any company in which he/she has financial interest.
- The use of one's position to contract, or influence contracting, with businesses for personal gain or to benefit friends, relatives, or associates.
- Investments, which might appear to be speculative in real property or business, in the immediate vicinity of a City project site.
- Ownership exceeding one (1%) percent in a public company holding a contract with the City.
- Involvement in a non-City business activity or employment (moonlighting) which reflects badly on the City.
- Political activities that interfere with an employee's ability to perform his/her duties or violate City, state, and/or federal laws.
- Accepting gifts of value from a customer or vendor or potential customer or vendor beyond nominal gifts such as an inexpensive holiday gift.

If further guidance is needed, the employee and/or the Department Head should consult with the Office of the Mayor.

6.6 OUTSIDE EMPLOYMENT

Employment with the City shall be considered an employee's primary job. Upon written authorization by the Department Head and the Mayor, City employees may engage in outside employment or moonlighting, which is defined as any service rendered for wages or fees, including independent contractual services.

Employees may not engage in outside employment which:

- Creates a conflict of interest or gives the appearance of impropriety.
- May conflict with or limit the City's demands on their availability for regular work or overtime.
- May interfere with proper, efficient, and safe job performance.
- May reflect adversely on the City, or subject the City or any of its departments to public criticism or embarrassment.

All anticipated outside employment including contract work shall be reported by the employee in writing to his/her Department Head prior to initiating such work. Upon receipt of such notification, the Department Head, in conjunction with the Mayor, will determine if such employment may have an adverse impact on the department or the City.

Employees who engage in employment outside of regular working hours shall be subject to being called in to perform work for the City as first priority. On occasion, the City may require employees to work beyond normally scheduled hours. Employees are expected to perform such work when requested. In cases of conflicts with outside work activities, the employee's obligations to the City take priority. Employees are hired and continue in the City's employ with the understanding that the City is their primary employer and that other employment or commercial involvement that is in conflict with City interests is strictly prohibited.

No employee shall perform outside employment or actively pursue other business efforts, other than his/her regular duties for the City, during assigned work hours for the City.

No employee shall perform outside employment or actively pursue other business efforts during such period of time that the employee is receiving paid sick leave from the City or worker's compensation benefits.

No employee shall use City property, vehicles, equipment, uniforms, and/or time for outside employment activities. However, police officers may be granted permission to use police vehicles, uniforms, and certain types of equipment to perform outside employment related to security since this activity is considered in the public's best interest.

The Police Department may also establish additional requirements and procedures which employees must follow for outside employment or contract work.

6.7 WORKPLACE VIOLENCE POLICY

The City will make every effort to provide a safe workplace for all employees. To promote a safe workplace and to reduce the risk of violence, all employees should review and understand all provisions of this policy.

6.7.1 PROHIBITED CONDUCT

The City will not tolerate any type of workplace violence committed by or against its employees. Threats, threatening behavior, or acts of violence against employees, citizens, or visitors by anyone on City property will not be tolerated.

Employees are prohibited from making threats or engaging in any type of violent activities. The following list of behaviors, while not all-inclusive, provides examples of conduct that is prohibited:

- Fighting.
- Engaging in heated verbal exchanges.
- Causing physical injury to another employee, citizen, or visitor.
- Making threatening remarks to or about a co-worker, citizen, or visitor.
- Threatening a co-worker, citizen, or visitor with any type of weapon.
- Intimidation through direct or veiled verbal or written threats.
- Stalking or harassing another co-worker, citizen, or visitor.
- Aggressive or hostile behavior that creates a reasonable fear of injury to another person or subjects another individual to emotional distress.
- Intentionally damaging City property, the property of another employee, or the property of a citizen or visitor.
- Throwing objects in the workplace regardless of the size or type of object being thrown or whether or not a person is the target of the thrown object.
- Engaging in name-calling or obscene language and gestures.

- Committing any acts motivated by or related to sexual harassment, racial harassment, other types of harassment, or domestic violence.
- Engaging in violent altercations or exchanges off the job that impact working relationships or serve to damage the City's reputation.
- Possession of a weapon in a City vehicle, or on City property, or while on City business unless expressly authorized by the City and within the scope of the employee's job duties and responsibilities such as law enforcement officers. The exception to this provision would be as follows: Weapons for which an individual has a valid owner's permit may be kept in the individual's personal private vehicle, but the vehicle must be kept locked when unoccupied on municipal property.

6.7.2 REPORTING PROCEDURE

Any employee having knowledge of a violation of this policy shall promptly report such violation to his/her Department Head. Department Heads will promptly report such violations to the office of the Mayor.

Each employee must notify his/her Department Head of any threats, which they have witnessed, received, or have been told about that another person has witnessed or received. All personnel are responsible for reporting any behavior in the workplace that they have witnessed that they consider threatening, violent, or harassing.

All reported incidents will be investigated promptly by the appropriate Department Head and other personnel as assigned by the Mayor. If circumstances warrant or if the incident involves a citizen, the Police Department may also be involved in the investigation. If circumstances warrant, the employee(s) involved may be removed from the premises pending the outcome of an investigation.

To the extent possible and feasible, such reports will be kept confidential. The City will not condone nor accept retaliation against any employee who reports violent acts, behavior, or threats, or who participates in an investigation of a violent act, threats of violence, or potentially violent behavior.

6.7.3 IDENTIFYING POTENTIALLY VIOLENT SITUATIONS

While employees are not expected to be skilled at identifying potentially dangerous persons, employees are expected to exercise good judgment and to inform their supervisor or Department Head if any employee exhibits behavior that could be a sign of potential violence. Such behavior includes but is not limited to:

- Discussing weapons in a manner that a rational and reasonable person would consider to be outside the scope of normal conversation. (In most circumstances, discussion of planned hunting trips, gun shows, etc. would be considered normal conversation.)
- Bringing weapons to the workplace.
- Threatening a co-worker or citizen with a weapon.
- Making threatening remarks whether verbal or written to or about employees and citizens.
- Comments or jokes regarding harm to others.
- Comments or jokes regarding personal harm or suicide.
- Displaying overt signs of extreme stress, resentment, hostility, or anger.
- Extreme overt depression.

- Sudden and significant deterioration of performance or personal hygiene.
- Displaying irrational or inappropriate behavior or extreme changes in personality.

Any employee who is at risk of violence because he/she is subject to harassment, violence, or threats from a non-employee must report the situation immediately to his or her Department Head and to the Office of the Mayor. The City and the Police Department will determine what security measures can be taken to prepare for a potential emergency.

All employees who apply for or obtain a temporary or permanent protective or restraining order which lists City facilities as being protected areas must provide the following to the Office of the Mayor:

- A copy of the petition and declarations used to seek the order.
- A copy of any temporary or permanent protective or restraining order.

6.7.4 COMPLIANCE AND ENFORCEMENT

All Department Heads and Supervisors are responsible for ensuring compliance and enforcement of the City Workplace Violence Policy.

Violent acts performed by non-employees while in City facilities or on City property will be reported to the Police Department for investigation and follow-up. Such acts must also be reported to the Office of the Mayor.

Following the results of an investigation, the City will initiate an appropriate response in accordance with the nature and the scope of the offense. This response may include but is not limited to:

- Written reprimand, suspension or termination of employment.
- Re-assignment of job duties.
- Referral to the City Employee Assistance Program.
- Referral to a medical doctor.
- Suspension and/or termination of any business relationship.
- Criminal prosecution of person or persons involved.
- Banning offenders from City buildings and facilities.

Each employee will be given a copy of the City Workplace Violence policy annually in conjunction with his/her annual performance review and will be required to sign a statement acknowledging receipt and compliance. New employees will be given a copy during new hire orientation.

Questions concerning any aspect of this policy should be directed to the Mayor. Written enquiries should be directed to the Mayor in care of the City Clerk.

6.8 CITY VEHICLE AND DRIVING POLICY

6.8.1 GENERAL POLICY STATEMENT

The primary purpose of City vehicles is to provide effective and efficient services to the citizens of Loxley. The City vehicle and driving policy is established to promote this purpose. The appropriate safety policies contained in the City Safety Policy are incorporated into this policy.

6.8.2 AUTHORIZED DRIVERS AND USE OF CITY VEHICLES

The use of any City vehicle is contingent on the City's financial ability to maintain the necessary equipment and may be revoked, restricted, or modified based on fiscal needs and requirements. The use of a City vehicle is a privilege and should not be considered an employment right or a mandatory fringe benefit.

The use of a City vehicle may be restricted, temporarily suspended, or revoked due to the

employee's failure to comply with City or departmental policies, failure to properly maintain the assigned vehicle, or other legitimate business reasons.

Each Department shall maintain a current list of all employees who are authorized to drive City vehicles. This list must include the employee's driver's license number and the expiration date. This information shall be subject to periodic review as to status and violations by the Department Head.

City vehicles may be operated only by employees who:

- Are at least 18 years old.
- Have a valid driver's license.
- Are authorized to drive a City vehicle in accordance with their position description and job duties.
- Are authorized to drive by the Department Head.
- Are insured under the City's vehicle insurance.

No employee shall purchase or transport any alcoholic beverage, illegal drug, or controlled substance in a City vehicle unless required to do so in the performance of their job duties.

The selection of specific vehicles for assignment will be at the discretion of the Department Head. Employees with assigned vehicles will not exchange vehicles without the approval of the Department Head.

City vehicles may be assigned to those employees who have "continuous on-call" status. These assigned vehicles are considered an integral part of the position and responsibilities and should be used accordingly.

City vehicles will not be driven to other cities or locations outside the scope of the employee's normal job duties and work area without the express written approval of the Department Head.

Employees other than those on "continuous on-call" status may also be permitted to drive City vehicles home overnight if:

- There is a clear business necessity and easily recognizable benefit to the City or,
- The employee has been placed on a specific 24-hour on-call status for emergencies or after-hours duties or,
- The employee will be attending job-related out of City training conferences, meetings, and seminars, and
- The employee has approval from the Department Head.

Regularly assigned City vehicles may also be used for reasonable stops in transit to and from work or while on 24-hour on-call status. For the purpose of this policy, a reasonable stop is one that does not materially increase the number of miles the vehicle is driven and may include, but is not limited to, short errands and stops for meals.

Employees may be permitted to drive City vehicles home for lunch breaks if:

- The residence is within a reasonable distance from the job site;
- Such activity does not interfere with job responsibilities and customer response;
- The privilege is not abused; and
- The employee has the Department Head's written permission.

City vehicles will not be loaned to employees who are experiencing problems with personal transportation to work.

City vehicles shall not be used for activities such as: personal vacations, hunting trips, fishing trips, personal business outside of the employee's daily work area, outside

employment activities, or any other activities which could reasonably be expected to cast the employee or the City in an unfavorable light or damage the City's reputation.

City vehicles will not be used to transport family members or citizens other than City employees except in the line and scope of duty and in connection with City business unless:

- The employee is attending job-related out-of-City training conferences, meetings, and seminars; or,
- Such persons are official guests of the City; or,
- The employee is making a reasonable stop in transit to and from his/her work site; and,
- The employee has been assigned to 24-hour on-call status and,
- The employee has specific permission from the Department Head or the Mayor.

6.8.3 DRIVER'S LICENSES

Prospective and current City employees whose duties include the operation of a City vehicle must possess a valid and current Alabama driver license or other appropriate driver's license to include a Commercial Driver's License ("CDL") for the class of vehicle driven.

Department Heads are responsible for insuring that a periodic annual driver's license check is conducted for each department. Any employee who drives a City vehicle must furnish proof of a driver's license upon request.

Under no circumstances shall a City employee whose driver's license has expired or has been cancelled, suspended, or revoked be allowed to operate a City vehicle or motorized equipment.

Drivers whose regular driver's license or CDL is restricted, suspended, revoked, or cancelled must notify their supervisor and the Office of the Mayor immediately upon receiving notice of restriction, suspension, revocation, cancellation, loss of privilege, or disqualification. Any employee whose job requires the operation of a City vehicle may be subject to transfer, demotion, or termination if his/her driver's license is restricted, cancelled, revoked, or suspended or if he/she otherwise becomes unqualified to operate a City vehicle.

An employee who loses his/her driver's license for up to 90 days may be accommodated at the City's discretion depending on specific circumstances, insurability status, Federal or State regulations, and job duties.

If a driver's license is suspended or revoked for any reason for more than 90 days, the employee is subject to immediate termination.

If the loss of license is due to a conviction for driving under the influence (DUI) of alcohol or a controlled substance, the employee will be suspended and will be referred to the Employee Assistance Program for assessment and treatment. The employee may also be subject to termination depending on circumstances, insurability status, regulations, and job duties.

CDL drivers who have been convicted of violating any State or local law related to motor traffic control (other than a parking violation) while driving any type of vehicle, including a personal vehicle, are required to report this conviction to the City of Loxley immediately. Notification must be made by completing a CDL Violation form no later than the next business day following a conviction. This form must be submitted to the immediate supervisor and to the Office of the Mayor. The City is required to provide DOT with official notice of a conviction within 30 days.

Failure to report the restriction, suspension, cancellation, or revocation of a driver's license or the driving of City vehicles or equipment without a license is grounds for immediate termination.

Records concerning the loss of a driver's license will be retained in the employee's personnel file in the Office of the Mayor.

6.8.4 CITY VEHICLE INSURANCE

Motor vehicle records are obtained from the Department of Public Safety for all drivers prior to hire. Drivers must maintain a good driving record and maintain insurability status under the City's vehicle insurance. Driving records are periodically updated and audited by the City's insurance providers to satisfy requirements for insurability. An employee who becomes uninsurable under the City's vehicle insurance due to DUI's, excessive traffic citations, or other business reasons is subject to termination.

6.8.5 VEHICLE OPERATIONS AND DRIVER SAFETY

Every operator of a City vehicle is a representative of the City, and is expected to conduct him or herself accordingly. Drivers will drive courteously and safely at all times and practice defensive driving techniques. (See Safety Policy for additional rules regarding courteous and safe driving).

All drivers are subject to random drug and alcohol testing. Employees will not drive after having consumed alcohol or drugs including over-the-counter medications or prescription drugs that may impair their ability to operate a motor vehicle. Employees are required to self-identify to their supervisor if they are taking any type of medication that may impact judgment, alter alertness, or in any way affect their ability to drive. (See City of Loxley Drug-Free Workplace Policy and Procedures).

All drivers must report any medical condition that might impact their ability to drive safely or to remain alert.

Drivers are required to comply with all traffic, parking, and speed regulations and related ordinances unless specifically exempted by Gas Pipeline Safety Response Regulations or departmental Standard-Operating-Procedures for life-threatening emergencies. In general, all traffic laws should take precedence over expected customer response times in all but extreme emergency situations. The number one priority must be public and driver safety.

Drivers must report all traffic violations that occur during working hours or non-working hours while driving a City vehicle to their supervisor immediately. If a driver receives a traffic citation, the driver is responsible for the payment of any fine assessed.

If provided, seat belts must be worn at all times when driving or riding in a City vehicle.

Headlights must be used during rain, fog, or inclement weather conditions when driving or riding in a City vehicle.

Weapons or firearms are not permitted in any City vehicle except: 1) law enforcement or animal control enforcement vehicles, or 2) in emergency or unusual situations specifically approved by the Mayor.

All distractions while operating a vehicle or motorized equipment must be minimized. Eating while operating a vehicle or piece of motorized equipment is prohibited. Likewise, the use of cell phones is not permitted while driving a City vehicle except for handling emergencies or City business. In these instances, the driver should pull off the road whenever feasible to use a cell phone. Phone and radio conversations should be kept as brief as possible in order to avoid becoming distracted.

While operating a City vehicle, employees will be neatly and appropriately dressed and will maintain a professional image in any contact with the public.

Assigned vehicles will be parked at an employee's home in a location that provides the best visibility and security for the vehicle.

Any assigned driver on leave for more than one week shall leave the assigned vehicle in the department's use or park it at a City facility.

6.8.6 VEHICLE ACCIDENTS

Any City employee involved in an accident while operating a City vehicle or piece of motorized equipment shall notify his or her supervisor immediately. Any accident/incident involving a City vehicle must be reported whether or not the accident results in injuries to a person or damage to vehicles or property or whether or not the City vehicle was moving or stationary.

Supervisors are responsible for completing a Claim Form provided by the Alabama Municipal Insurance Corporation (and/or other insurance carrier if applicable) and also a Police Report, depending on the nature of the incident. Both reports must be submitted promptly to the City Clerk.

Any driver involved in a vehicle accident will be required to take a drug and alcohol test immediately if circumstances indicate possible driver fault or error. All vehicle accidents must be reported immediately to the Office of the Mayor, who will determine if a drug and alcohol screen is warranted in accordance with the City's Drug-Free Work Place and Substance Abuse Policy and Procedure.

6.8.7 VEHICLE MAINTENANCE

All City vehicles shall be identified as City vehicles with an appropriate decal or emblem on the side of the vehicle and a City license plate, with the exception of certain law enforcement vehicles and other vehicles designated by the Mayor.

City vehicles shall receive maintenance service at a garage designated by the City or other location determined by the Department Head. Drivers shall be responsible for insuring that each vehicle is in a safe operating condition before driving. Unsafe conditions must be reported immediately. The interior of a City vehicle shall be kept clean and orderly, and the exterior shall be kept reasonably clean at all times.

No additional equipment shall be installed or attached to a City vehicle without the approval of the Department Head. Employees shall reimburse the City for the cost of any damages to a vehicle from personal installation of equipment.

6.9 TECHNOLOGY POLICY

This policy applies to all City employees and also to all employees contracted through employment agencies or other companies who perform work for the City.

All software used by City employees (or contract employees as defined above) in the performance of their jobs on City premises must be licensed to the City. Employees may not load or use personal software that is not licensed to the City on any computer owned by the City unless it is used for business purposes and approved in writing by the Department Head and the Mayor.

E-Mail, the Internet, and computer software have been provided by the City at its own expense. This technology is the City's private property. This technology is another tool for use in job-related business transactions or business communication. Employees may not use these tools for their own personal, private, or non-business matters or to communicate personal, private, or non-business matters. E-mail is an extension of the City. Employees should understand that E-mail messages can be traced to the sender even after they have been "deleted." The City may be required to produce E-mail messages if litigation develops.

Employees may not use any communication tool on City premises to communicate anything that might be construed as harassment or offensive to others based on race, sex, disability, age, religion, or national origin. Employees may not use the Internet or E-mail to solicit business for a non-work-related venture or for any personal causes, including political or religious issues. If an employee has questions about whether he/she may circulate certain information to all employees, the employee should ask his/her supervisor before taking action.

Employees cannot print, display, download, or send any sexually explicit images, messages, cartoons, or jokes. If an employee receives such items from another person, he/she should immediately advise the sender that such messages are not permitted and not to send it again.

The City may regularly review, audit, and may download E-mail messages and may monitor Internet access. In addition, the City may periodically audit computers for licensed software. By

using these media, each employee waives any privacy in these communications. If an employee is found to have created or sent abusive or inappropriate E-mail or participated in non-work related activities with the Internet, such as chat rooms, or downloaded abusive or inappropriate matters from the Internet, the employee will be subject to discipline, up to and including discharge.

Employees should understand that there is no implied right of privacy with respect to City software, E-mail, or Internet access, or data stored on any computer located on City premises. Simply because an employee has a password does not mean that the employee has any right of privacy in E-mail, Internet access, or software. Employees may not use unauthorized passwords, and all passwords must be shared with management.

As a condition of employment, each employee will be required to sign a copy of the City of Loxley Technology Policy. The signed copy will be placed in the employee's personnel file. Violations of this policy will be considered extremely serious and will result in discipline, up to and including discharge.

If an employee has questions regarding whether or not an activity is appropriate, he/she should contact the Office of the Mayor.

6.10 MEDIA COMMUNICATIONS POLICY

6.10.1 PRESS RELEASES

All press releases whether for newspaper, TV, radio, or any medium must have prior approval by the Mayor or his designee before submission for publication.

6.10.2 MEDIA REQUESTS FOR INFORMATION

All media requests for information concerning City projects, business, and activities shall be referred to the appropriate Department Head, the Mayor, or the Mayor's designee. Department Heads shall consult with the Mayor before releasing information.

6.10.3 GENERAL NOTICES

Publication of ordinances and resolutions, service-related notices, meeting notices, and requests for bid proposals will be the responsibility of Department Heads in coordination with the City Clerk. All employment advertising will be the responsibility of the City Clerk. All other types of notices not listed above require pre-approval by the appropriate Department Head before submission for publication.

6.11 REFERENCE CHECKS

All inquiries regarding a current or former City employee shall be referred to the Office of the Mayor. Should an employee receive a written request for a reference, he/she should refer the request to the Office of the Mayor. Under no circumstances should any City employee release any information about any current or former City employee over the telephone. All telephone inquiries regarding any current or former City employee shall be referred to the Office of the Mayor. All inquiries from the State Employment Office concerning an employee separated from employment shall be directed to the Office of the Mayor.

In response to an outside request for information regarding a current or former City employee, the Office of the Mayor will furnish or verify only an employee's name, dates of employment, job title and department. No other data or information regarding any current or former City employee will be furnished unless the employee authorizes the City to furnish such information in writing and releases the City from any liability related to furnishing this information or the City is required by law to furnish such information.

6.12 TAPE RECORDING AND OTHER COMMUNICATION AND DATA RECORDING DEVICES

6.12.1 TAPE RECORDING

No employee (or person acting on behalf of or under the direction of an employee) shall record any conversations with employees or citizens using a tape recorder or other recording device unless: (1) prior approval is received from the Department Head and the Mayor and (2) all parties to the conversation have given prior consent. The obvious exception to this policy would be conversations recorded as part of standard Police Department investigations.

6.12.2 OTHER COMMUNICATION AND DATA RECORDING DEVICES

No employee (or person acting on behalf of or under the direction of an employee) shall use any electronic, digital, or other device to record, capture, store, transmit, or transfer any audio, video, still image, document, or other data while at work, during a City-sponsored event, or on City property without first obtaining written permission from the Office of the Mayor. The devices covered by this policy include, but are not limited to, multipurpose cell phones, pagers/beepers, digital cameras, portable disk drives, universal serial bus (USB) flash and/or pen storage drives, memory sticks, camera phones, PDAs, and handheld and/or portable scanners. Nothing in the policy should be construed to prohibit the use by employees of City copy machines, fax machines, or computers for the authorized business of the City. Further, nothing in this policy should be construed to prohibit the use of the voice communication component of personal cell phones in accordance with other applicable City policies. A violation of this policy may result in discipline, up to and including termination. Furthermore, the unauthorized use of any devices or technologies covered or embraced by this policy may result in their confiscation from the Employee. Should confiscation occur, the device will be returned to the employee only after the City has inspected the device and has determined that the device is not implicated in other policy violations. If the City determines that the device has been or may be implicated in other policy violations, the City may retain physical possession of the device indefinitely.

These policies are to be construed in conjunction with the City's other policies relating, but not limited, to harassment, discrimination, e-mail communications, Internet usage, and cell phone usage.

6.13 SMOKE-FREE WORKPLACE AND SMOKELESS TOBACCO POLICY

The City is a smoke-free workplace. Smoking and the use of smokeless tobacco (*i.e.*, chewing tobacco, snuff, etc.) are prohibited in all buildings belonging to the City. Smoke-free workplace signs are posted at all building entrances.

Smoking and the use of smokeless tobacco are not permitted outside the front entrance of any City building. Smoking is limited to a reasonable distance (*e.g.*, 20 feet or more) outside of a City building to insure that environmental tobacco smoke does not enter the building through entrances, windows, ventilation systems or any other means. Likewise, the use of smokeless tobacco is permitted at a reasonable distance outside of a City building. Permissible outside smoking areas and tobacco use areas are established by each Department Head.

Smokeless tobacco residue shall be disposed of properly in trash receptacles containing trash liners. Smokeless tobacco residue shall not be left in cans, bottles, paper cups, etc. in the workplace or in City vehicles. There shall be no spitting of tobacco juice on walls, floors, furniture, parts bins, vehicles, equipment, or on any other property belonging to the City.

All employees are expected to comply with all aspects of this policy. Violations will be subject to disciplinary action up to and including discharge.

Smoking in City vehicles or equipment is not permitted.

6.14 POLITICAL ACTIVITY

City employees are encouraged to participate fully and actively in the political process, except as restricted by law. In general, employees are restricted only in their political activities in campaigns for City office and their on-the-job political activities for any candidate for any office. The Office of the Mayor will advise employees who have questions concerning their rights and the applicable

restrictions. No employee shall be penalized in any way for participating or not participating in permitted activities.

6.15 DRESS AND GROOMING POLICY

The policy of the City is to present a conservative, professional, and business image to the citizens we serve. Because every employee may at one time or another come in contact with our citizens, it is important for all employees to be dressed appropriately at work every day, and/or when driving City vehicles.

If provided, City uniforms must be worn at all times during working hours without exception. For jobs not requiring a City uniform, a professional, conservative business image requires that male employees wear slacks or pants, shirts, leather shoes, and socks. Appropriate attire for female employees includes dresses, skirts and blouses, pant suits, slacks, business suits, and dress shoes, dress sandals, or leather shoes. All clothing, including City uniforms, must be in good condition and not torn, ragged, or extremely faded. Shirrtails shall be tucked in and shirts buttoned appropriately.

Printed t-shirts with profanity, offensive slogans, company logos, and company photographs are unacceptable; however, City of Loxley logos and/or logos for City-sponsored charity events are permissible.

Shorts are unacceptable for most positions, but are permitted for outside jobs with the Department Head's approval. Additional unacceptable attire includes: very short skirts and dresses; distracting, revealing, or tight clothing; jogging suits; sweat suits; stretch pants; muscle shirts; and tube, halter, or tank tops.

In addition to proper dress, employees are expected to present a clean, neat, and business-like appearance that includes practicing good personal hygiene and wearing clean clothes daily. Hair styles and jewelry should not be extreme or eccentric and should contribute to a conservative, professional, and business image. Acceptable hair length will be job specific based on safety requirements and job responsibilities and will be established by individual Department Heads. Male employees are expected to have neatly combed hair and to be clean-shaven or to have neatly trimmed mustaches and/or beards. Female employees are expected to have neatly combed hair and reasonable makeup.

If warranted for safety reasons or business necessity, individual City Departments may implement a more stringent dress code policy than the one contained herein. However, such policies must be non-discriminatory and distributed in writing to all department personnel.

Supervisors and managers are responsible for enforcing the dress and grooming policy for their department and work group. This includes counseling employees who are inappropriately dressed or poorly groomed. If clothing or appearance is inappropriate, unduly distracting, or unsafe the employee may be sent home without pay to change clothes. A reasonable accommodation will be made for an employee's religious beliefs consistent with business necessity to present a conservative, professional and business appearance to the public.

Dress or grooming issues that cannot be resolved at the department level may be referred to the Office of the Mayor for assistance. Repeated violations of the City's dress and grooming policy will result in disciplinary action up to and including discharge.

6.16 POLICE DEPARTMENT RULES AND PROCEDURES

The Police Department of the City has established a set of Departmental Rules, Regulations, and Operating Procedures consistent with the policies contained herein. The Police Department manual, although a separate document, shall be considered an incorporated part of the City Personnel Policies and Procedures.

6.17 TRAINING

The City will offer all classified and unclassified employees training opportunities to the extent feasible, possible, and compatible with City budgets and resources. The purpose of training shall be to enhance job-related technical knowledge and skills, to prepare employees to assume greater responsibility, and to increase job satisfaction. Questions regarding training opportunities should be

directed to a Supervisor or Department Head.

6.18 COLLEGE ATTENDANCE DURING WORKING HOURS

Attending college classes during work hours requires advance approval from the Department Head and the Mayor. Employees who wish to attend college classes or technical courses during regular work hours must submit a written request and a detailed class schedule to their Department Head three weeks prior to the start of class. The written request requires the Department Head's and the Mayor's written approval prior to the start of the class or classes.

All time spent in college classes or technical programs during regular work hours must be counted as vacation leave. The only types of college classes or technical courses that may be considered for attendance during regularly scheduled work hours are as follows:

- Classes that relate directly to the employee's current position with the City and will enhance the employee's job performance.
- Classes that is necessary for the completion of a college degree or a technical training program that relates directly to the employee's present position with the City.

The City reserves the right to deny any request if the employee's absence creates an undue hardship on a Department or the City. Whether the request is approved or denied, the request form shall be submitted to the City Clerk for inclusion in the employee's personnel file.

6.19 DUE PROCESS PROCEDURES

The rights of full-time employees shall be protected in all personnel matters and proceedings. These rights for full-time employees shall include:

- Right to privacy;
- Right to face any accuser;
- Right to respond to accusations; and
- Right to due process.

6.20 DISCIPLINARY ACTION POLICY

The primary purpose of discipline is:

- To correct performance and behavior;
- To ensure fairness and consistency throughout the organization; and
- To provide accurate and complete written documentation of poor performance, policy violations, and corrective actions.

Supervisory personnel will strive for high standards of performance in their various Departments and will fairly and impartially implement disciplinary measures when necessary. Due process procedures will be adhered to in all disciplinary procedures involving a full-time employee. Every effort shall be made to determine why an employee failed to observe proper conduct or violated policy before discipline is issued.

Punishment shall fit the offense. Penalties for repetitive offenses or performance problems should be of increasing severity, leading finally to dismissal. All discipline shall be prompt and decisive. Employees who do not perform satisfactorily, or who cannot meet established standards, or who violate City policies will be disciplined in a just and expedient manner. Such discipline shall be implemented in a manner that respects both the rights of the individual and the obligation of the City to serve its citizens.

The immediate supervisor and/or the Department Head shall initiate disciplinary actions. Some disciplinary action, as set out herein, shall require final approval of the Department Head and/or the Mayor after a due process hearing.

For unsatisfactory performance of duties, policy violations, or other just causes an employee, regardless of employment status, may be subject to one or more of the following disciplinary actions by his/her immediate supervisor and/or Department Head:

- Oral Reprimand (Documented in the personnel file of the employee).
- Written reprimand
- Suspension with pay for a period not to exceed three (3) days.
- Suspension without pay for a period not to exceed (3) days.
- Suspension with pay not to exceed thirty (30) working days. This action requires Department Head action and approval by the Mayor. A due process hearing is required for a full-time employee but may be conducted after the suspension or during the period of suspension.
- Suspension without pay for a period not to exceed thirty (30) days. A due process hearing is required for a full-time employee but may be conducted after the suspension or during the period of suspension. This action requires a due process hearing prior to issuing a disciplinary notice. The Mayor shall conduct the due process hearing.
- Demotion. This action requires a due process hearing prior to issuing a disciplinary notice if it is for a full-time employee. The Mayor shall conduct the due process hearing.
- Dismissal from service. A dismissal requires Department Head action with Mayor's concurrence and signature after a due process hearing, which is only guaranteed for full-time employees. Grounds for dismissal are listed under **Section 6.21** entitled "Rules of Conduct." The grounds for dismissal listed under "Rules of Conduct" are not exclusive. The Mayor shall conduct the due process hearing.

For all disciplinary actions, the Department Head shall ensure that the full-time employee is provided written notice of the discipline signed by the Department Head. The disciplined employee shall be furnished a copy of the notice, which may include, but is not limited to the following:

- The reason (s) for the disciplinary action;
- Policies violated or performance problems;
- The type of disciplinary action to be taken; and
- The date, time, and place of such action.

If the discipline involves a suspension for more than three (3) days or dismissal, the full-time employee will be given written notice and provided the opportunity for a due process hearing.

All disciplinary actions (including oral reprimands) shall be recorded and filed in the employee's personnel record. A copy of the record shall be included in the employee's personnel file.

6.21 RULES OF CONDUCT

In order to promote the common good and the welfare of the City and its employees, the City has established rules of conduct generally accepted in municipal governments, business, and industry. The rules of conduct listed below are not intended to be all-inclusive or comprehensive. Disciplinary actions may be also issued for other types of infractions and will be determined by the circumstances of each situation.

Engaging in the activities listed below shall result in disciplinary action ranging from oral or written warnings to suspension or dismissal depending on the nature of the offense and the circumstances:

- Fighting or committing an assault or any other violation of the City's Workplace Violence Policy.
- Threatening, purposely intimidating, coercing, harassing, or interfering with fellow employees, supervisors, or other management personnel in the performance of their job duties.

- Conviction of a criminal offense or of a misdemeanor involving moral turpitude.
- Disorderly, indecent, immoral, or illegal conduct or conduct unbecoming of a public employee.
- Violations of the City's Sexual Harassment Policy.
- Using abusive or threatening language or conduct toward the public or co-workers or the abusive public criticism of a superior or other City official.
- Possession, consumption, or being under the influence of alcohol or illegal drugs while on duty and/or on the City's premises as well as any other violations of the City's Drug-Free Workplace and Substance Abuse Policy and Procedures. Any City employee off duty but on an on-call status must also comply with this regulation.
- Insubordinate conduct, refusal or failure to follow lawful orders, direction, or instructions given by a supervisor; failure to follow instructions in a timely manner; and/or failure to perform assigned work activities.
- Unsatisfactory job performance of a deliberate, repeated, or continuous nature. Failure or inability to produce the quality and the quantity of work required.
- Lying or deliberately falsifying any City records or giving false information for inclusion in City records to include but not limited to job applications, absence and leave reports, time reports, accident records, and workers compensation records.
- Making or spreading slanderous or malicious statements concerning any employee, City officials, the City of Loxley in general, or any of its Departments.
- Gambling on City premises and/or during working hours. For the purpose of this policy, selling chances on prizes for charitable causes and school programs will not be considered gambling; however, this activity should have formal authorization.
- Unauthorized absences or abuse of leave privileges including but not limited to excessive tardiness and/or absences; job abandonment or absence without notification for three or more consecutive workdays; unauthorized absence from the job during working hours or leaving the Department or work location without authorization; failing to report an absence within two hours of starting time; failing to return from a leave of absence as scheduled; fraudulent use of sick leave, FMLA leave, worker's comp leave, or disability leave benefits.
- Theft of City property.
- Abuse, misuse, destruction, negligence, or unauthorized use of City property, tools, equipment, or the property of other employees.
- Horseplay, littering, loafing, roaming, loitering, or sleeping on the job.
- Violating safety rules, policies and regulations including but not limited to: failure to wear personal protective equipment as required; working in a manner that endangers one's own safety or the safety of co-workers; failure to follow established work procedures; creating or contributing to unsanitary conditions; failing to report any occupational injury or accident promptly.
- Violation of the City of Loxley's Conflict of Interest Policy or engaging in activities which give the appearance of impropriety.
- Violation of the City's Vehicle and Driving Policy.
- Solicitation of any type by employees on City property, unless specifically authorized by the Department Head or Mayor.

- Disclosure of confidential City information or personal employee information without proper authorization.
- Failure to observe parking and traffic regulations on City property.
- Violation of any duly adopted City personnel policy or ordinance and/or state/federal law or regulation governing public employment.

6.21.1 ARREST OF EMPLOYEES

If an employee is arrested for any crime, the employee must notify his or her Department Head and the City Clerk's office in writing within 72 hours. Any employee arrested for a crime may be placed on administrative leave with pay by the Mayor under *Personnel Policies Section 5.11*, pending an investigation to determine whether the alleged conduct resulting in the arrest is a violation of City policy and, if so, whether disciplinary action should be initiated against the employee.

6.22 THE PERSONNEL BOARD

The Personnel Board serves as an impartial advisory panel to the City. The Personnel Board has three members. The members of the Personnel Board are appointed by majority vote of the City Council for staggered three-year terms. The members first appointed will serve terms of one, two, and three years respectively. Members of the Personnel Board must be residents and qualified electors of the City. No person will be appointed to the Personnel Board who is an employee or holds a salaried office for the City. The members serve without compensation unless otherwise authorized by the City Council.

The Personnel Board hears grievances at level three and makes recommendations to the City Council relative to the resolution of grievances.

6.23 GRIEVANCE PROCEDURE

6.23.1 GENERAL PROVISIONS

All employees have the right to discuss any and all matters relating to their employment and/or their personal welfare with their supervisors. They may communicate with their supervisors, either orally or in writing, and may be accompanied by representation of their choice. When disagreement over the solution of a problem cannot be resolved, all employees shall have access to successively higher levels of management. Supervisory personnel will make all reasonable efforts to achieve rapid, satisfactory, and productive resolution of problems, complaints, and grievances and shall always assure every full-time employee's right to due process.

A grievance is an employee's written statement that his/her supervisor is improperly or prejudicially applying or failing to apply the personnel rules, regulations, and/or procedures of the City. The grievance procedure permits every employee equal access to those individuals who make management decisions. In addition, the grievance procedure provides a standard process for speedy investigation and resolution of employee complaints. The grievance procedure shall not be used to resolve differences between employees of like rank.

No employee shall be penalized in any way for exercising his/her rights under the grievance procedures. A grievance may be withdrawn at any step without prejudice.

Employees shall have the right to be represented by a person, or persons, of their own choosing at any step in the grievance procedures.

Grievances crossing departmental lines or individual grievances against the City Council shall go directly to Step 2 of the grievance procedure.

Any employee demoted in rank, reduced in compensation, suspended without pay, or dismissed may file an appeal with the Personnel Board within fourteen (14) days after service of the order of demotion, reduction in compensation, suspension, or dismissal

without filing a first and second step grievance. The format for the appeal hearing shall follow the same grievance appeal procedures outlined below.

6.23.2 FIRST-STEP GRIEVANCES

Within five (5) calendar days after the employee knows, or should have known, of the alleged violation or misapplication of a City personnel rule, regulation, policy, or procedure, the employee shall prepare a written grievance and discuss the grievance with his/her immediate supervisor. The supervisor shall provide the employee with a Step 1 written response to the grievance within five (5) calendar days of the initial meeting.

6.23.3 SECOND-STEP GRIEVANCES

Within five (5) calendar days from receipt of the supervisor's Step 1 written response or non-response to a grievance, the employee shall have the right to appeal the decision to the Supervisor's superior, which in most instances is the Department Head. If the supervisor is also the Department Head, then the employee will submit the written grievance to the Department Head's superior manager or to the Mayor as appropriate. The Department Head or Mayor as appropriate shall furnish the employee a Step 2 written response within five (5) calendar days of this meeting.

6.23.4 THIRD STEP GRIEVANCES - APPEAL TO THE PERSONNEL BOARD

Within five (5) calendar days of receipt of the Step 2 written response or non-response, the employee shall have the right to elevate his/her grievance to the Personnel Board. The employee will prepare a written appeal request addressed to the Personnel Board and submit it to the Office of the Mayor. The Office of the Mayor ensures that appeals are processed timely and consistently and serves as a resource and secretary to the Personnel Board.

The employee's written grievance appeal request must contain the following information:

- A request to appeal the grievance to the Personnel Board.
- A statement of the specific personnel rules, regulations, policies, or procedures that have been violated or misapplied, with the dates and descriptions of such violations or misapplication.
- The specific remedy being sought.
- The supervisor's 1st step written response to the grievance.
- The Department Head 2nd step written response to the grievance.

The Office of the Mayor will submit the employee's written request for appeal and all related documentation to the Personnel Board. The Office of the Mayor will contact the Board to coordinate a date and time for the Personnel Board to hear the employee's appeal. Once the date and time are set, the Office of the Mayor will notify all parties in writing, including the grievant, the supervisor, the Department Head, and the Personnel Board. The written notification will include the hearing schedule and the format of the hearing.

Within thirty (30) calendar days of receiving the request to appeal, the Personnel Board shall conduct a hearing.

6.23.5 APPEAL HEARING FORMAT

The format for the appeal hearing allows both parties adequate time to present without interruption and also time for the Personnel Board to ask relevant questions.

During the hearing, the employee will make the first presentation and discuss the grievance. The employee shall be allowed to appear before the Personnel Board with representation of his/her choice if desired. Either the grievant or his/her representative or both may present the grievance. The supervisor and the Department Head will not be present for the grievant's presentation. The Board may ask some additional questions. Once this part of

the meeting is complete, the grievant and his/her representative will be dismissed from the meeting.

The Supervisor and/or Department Head will then make their presentation concerning the grievance. The grievant and his/her representative will not be present during the Supervisor's and/or the Department Head's presentation. The Board may ask additional questions. Once this part of the hearing is complete, the Supervisor and/or Department Head will be dismissed from the meeting.

The Board will then meet privately to review and discuss the information that has been presented by all parties. If necessary, the Personnel Board shall request and gather additional documents or request and interview additional witnesses. The Board prepares a written statement of fact and findings and submits a recommendation to the City Council within thirty (30) calendar days of the appeal hearing.

The Office of the Mayor shall send the Personnel Board's written recommendations to the City Council, along with all pertinent documents related to the grievance.

Within thirty (30) calendar days of the receipt of the recommendation from the Personnel Board, the City Council shall make the final determination in the case. The decision of the City Council shall be final. The Council notifies the Mayor of either its decision or its concurrence with the Board's recommendations. If the City Council fails to take action on the Personnel Board's written recommendation within the prescribed time, the recommendation of the Personnel Board shall be final.

The Mayor shall return a copy of the Council's final decision to the grievant, the Supervisor, the Department Head, and the Personnel Board. In addition, a copy shall be maintained on file in Office of the City Clerk.

6.24 RECORDS

6.24.1 ATTENDANCE RECORDS

The City Clerk shall maintain an official attendance record for each classified and unclassified employee. This record shall reflect all absences from work including but not limited to sick leave, vacation leave, Alabama Worker's Compensation Act leave, Family Medical Leave Act, other leaves of absence, administrative leave, bereavement leave, jury duty leave, civil leave, and military leave.

Each department shall maintain a departmental attendance record for each of its employees. Department Heads shall complete a weekly attendance report for each employee. The weekly attendance report shall be filed with the City Clerk no later than the first work day of the next week in accordance with procedures established by the City Clerk.

The official attendance record maintained by the City Clerk shall be balanced with each department's attendance records not less than once a year. An employee may request an appointment to review and inspect his/her official absence file in the presence of the City Clerk of her designee.

6.24.2 PERSONNEL AND MEDICAL RECORDS

The City will maintain a personnel file and medical records file(s) for each employee under the custody of the City Clerk, who is the city's Custodian of Records. Employee personnel and medical records files are confidential. Department Heads or Supervisors are not allowed to maintain any type of employee medical records file on employees within their departments.

Personnel Files

Personnel files generally include the following documents:

1. Application for employment and related hiring documents such as resumes, educational transcripts and employment references;
2. Documents reflecting the employee's job title, job classification and department, pay

- grade, pay history and a record of any job changes, promotions or demotions;
3. Documentation of personal information required for state and federal income tax withholding;
 4. Documentation of job performance, including performance evaluation and appreciation letters;
 5. Current job description;
 6. Training records, employment contracts (if any), corrective action reports, disciplinary reports, and documents reflecting other personnel actions.

Department HLS I-9 Forms

Department of Homeland Security I-9 forms and supporting documents are maintained in a separate file.

Medical Records/Workers Compensation Records

All medical records, including Worker's Compensation and Family Medical Leave (FMLA) records, are maintained in separate medical records files with access and disclosure limited to compliance with applicable laws. Medical records related to employee's coverage and claims under the group health insurance program are maintained in separate medical records files with access and disclosure subject to *the Health Insurance Portability and Accountability Act*.

Access to Personnel and Medical Records Files

Employees are permitted reasonable access to review their personnel files and medical records files during regular City Hall business hours. Employees will not be allowed to copy any portion of a file, except as required by law. An employee must provide written notice of a request to review his/her personnel file or medical records file to the City Clerk at least 24 hours in advance. Employees must review the file during a time scheduled by the City Clerk, which may be during the employee's non-work hours.

Except for verification of employment, job title and inclusive dates of employment, information from an employee's personnel file will not be released except as required under Alabama's Public Records Law or by other applicable laws (for example, to comply with a valid subpoena issued by a court). Any request for disclosure of an employee's personnel file as a public record must be submitted to the City Clerk in writing on a public record request form. Certain information from an employee's personnel file may be released to the employee or to a third party if the employee provides a written and signed consent to such disclosure to the city Clerk. The request must include a specific description of the information or documents subject to the request.

Employment References and Employee Information Requests

If an employee wishes the City to verify information requested by a third party for credit approval or other purposes, the request must be in writing and directed to the City Clerk, who is the only City employee authorized to respond to such request (unless otherwise directed in writing by the Mayor), except as follows: The Payroll Clerk will provide the following employment information on current or former employees:

Verbal Request By The Employee to Verify Employment: The Payroll Clerk will verify only that an individual is or is not employed, and the position and dates of employment. No other information will be provided.

Written Inquiries to Verify Salary Information: A written request for wage or salary information will be verified only if accompanied by an authorization and release form signed by the employee. This verification will be in writing and a copy retained in the employee's personnel file.

The City does not give employment references on employees or former employees. No Department Head, manager, supervisor or other City employee or representative is authorized to give an employment reference on behalf of the City or to release any other information about an employee's employment with the City, except as stated in this Section.

6.24.3 PAYROLL RECORDS

A properly completed time card is required for all classified, unclassified, and temporary employees and must be submitted to payroll every two weeks. The Department Head shall certify that all work hours submitted for payment including overtime hours are correct. To update or change tax deductions for payroll purposes, the employee may obtain a tax change form from the City Clerk at any time.

7.0 BENEFITS

7.1 DISCLAIMER

The City has established a variety of employee benefit programs designed to assist the employee and his/her eligible dependents with meeting the financial burdens that can result from illness and disability and to help with planning for retirement. This portion of the City Personnel Policies and Procedures highlights some features of our benefit programs and is not intended to provide all details of these benefits. Our group health, dental, and life insurance benefits and retirement related programs are described more fully in summary plan description booklets, which are provided to new employees. Complete descriptions of the City's group health insurance programs are also in the City of Loxley master insurance contracts with insurance carriers, which are maintained in the Office of the City Clerk. A complete description of the City's retirement plan is in the appropriate master plan documents provided by the Retirement Systems of Alabama.

The information contained herein does not change or otherwise interpret the terms of the official plan documents or master insurance contracts. An employee's rights under any benefit plan can be determined only by referring to the full text of the official summary plan documents or master contracts, which are available for examination from the Office of City Clerk. To the extent that any of the information contained in this policy and procedure manual is inconsistent with the official plan documents or master insurance contracts, the provisions of the official plan documents or master contracts will govern in all cases.

Nothing contained or described herein shall be construed to create a promise of employment or future benefits or a binding contract between the City and its employees, retirees, or their dependents for benefits or for any other purpose. All employees shall remain subject to discharge or discipline to the same extent as if these plans had not been put into effect.

The City reserves the right, in its sole discretion, to amend, modify, or terminate, in whole or in part, any or all of the provisions of the benefit plans described herein, including any health benefits that may be extended to retirees and their dependents. The City reserves the right, in its sole discretion, to increase or change employee or retiree premium contributions toward any benefits at any time. Further, the City reserves the exclusive right, power, and authority, in its sole discretion, to administer, apply and interpret the benefit plans described herein, and to decide all matters arising in connection with the operation or administration of such plans.

For more complete information regarding any of the City's benefit programs, please contact the Office of the City Clerk.

7.2 GENERAL PROVISIONS

The City will provide eligible employees with appropriate fringe benefits to the extent reasonably possible within its financial capability. All classified and unclassified City employees shall be eligible for benefits provided by the City. An elected official may be eligible for benefits if agreed to by the official and the City Council. Any eligible employee who refuses to participate in the City's insurance programs shall relinquish all claims against the City for any liability except for job-related injury or job-related sickness or disability claims.

Unless subsequently modified by the City Council, the insurance program shall include medical insurance, dental insurance, life insurance, short-term-disability insurance, and accidental death insurance. The City and the employee will share the premiums for most of the City-sponsored plans. A limited number of supplemental insurance plans are also offered; however, supplemental plan

premiums are entirely employee paid.

The effective date of all City-sponsored insurance plans for eligible new employees is the first of the month after a sixty-day waiting period following an employee's hire date, except during the annual open enrollment period in September.

Questions concerning benefits, premiums, and eligibility should be directed to the Office of the City Clerk.

7.3 MEDICAL INSURANCE

The City currently provides a medical insurance program. Upon being hired, eligible employees shall select one of the following options for medical insurance:

- No coverage;
- Coverage for the employee only;
- Coverage for employee and spouse;
- Coverage for the employee and children;
- Coverage for family

The City currently provides medical insurance coverage for eligible employees. The City reserves the right to require employee participation in the cost of insurance by requiring that the employee pay a portion of the cost of the insurance on a periodic basis.

Medical insurance currently is available for the eligible dependents of employees. Dependents shall be defined by the terms of the policy and no benefits shall be provided other than the benefits provided in the policy of insurance. The City currently pays 85% and employees pay 15% of the premiums of the option selected. This employee contribution amount may increase or decrease at the City's sole discretion.

COBRA Continuation Coverage: If an employee is covered under the City's group health insurance program, the employee and any eligible dependents covered under the group health insurance may have the right to continue this insurance coverage at group rates as provided under the federal *Consolidated Budget Reconciliation Act* ("COBRA") if certain events occur that would otherwise cause the employee to lose the insurance coverage (*for example*, the termination of employment or an extended leave of absence). The employee and/or eligible dependents will be required to pay the full insurance premium for the coverage (generally 102% of the group health insurance premium). The coverage would be available for a limited time (generally 18 or 36 months+). The employee and eligible dependents will be given full details of the right to continue group health insurance coverage under COBRA in a separate "Notice of Right to Elect Continuation Coverage under COBRA." This notice should be reviewed carefully.

7.4 DENTAL INSURANCE

The City of Loxley currently provides a dental insurance program for eligible employees. Upon being hired, eligible employees shall select one of the following options for dental insurance:

- No coverage;
- Coverage for the employee only;
- Coverage for employee and spouse;
- Coverage for employee and children;
- Coverage for family.

The City currently provides dental insurance to eligible employees. City reserves the right to require employee participation in the cost of insurance by requiring that the employee pay a portion of the cost of the insurance on a periodic basis.

Dental insurance currently is available for the eligible dependents of employees. Dependents shall be defined by the terms of the policy and no benefits shall be provided other than the benefits provided in the policy of insurance. The City currently pays 85% and employees pay 15% of the premiums of the option selected. This employee contribution amount may increase or decrease at the City's sole discretion.

7.5 RETIREMENT PLAN

Regular full-time employees and eligible part-time employees who regularly work 20 or more hours each week are covered under the Retirement Systems of Alabama (RSA) retirement program. Eligibility, benefits and contribution rates are established by RSA. Employees who wish to retire should notify their Department Head and the City Clerk at least three months prior to the date of retirement. Information on the RSA Retirement Program is included in a booklet prepared by RSA and provided to each eligible employee by the City Clerk.

Additionally, the City makes contributions on behalf of all eligible employees to the Social Security System in addition to the individual contributions made by the employee through FICA payroll deductions.

The City offers police officers who retire after ten (10) years or more of honorable service and in good standing with the City their badges and pistols as a part of their retirement benefits.

7.6 DEATH BENEFITS

If a classified or unclassified employee is killed performing his/her duty, the employee's designated beneficiary will be awarded a death benefit equivalent to three months of the deceased employee's base salary, exclusive of overtime.

7.7 EMPLOYEE ASSISTANCE BENEFITS

The City of Loxley recognizes that problems such as marital or family distress, alcohol and/or drug abuse which are not directly associated with an individual's job function can be detrimental to an employee's performance on the job. For this reason, the City of Loxley currently provides Employee Assistance Benefits through BlueCross BlueShield of Alabama for covered employees. Application for or receipt of employee assistance benefits does not excuse an employee from complying with all City of Loxley policies or from meeting normal job requirements during or after receiving assistance; nor will it prevent the City from taking disciplinary action for performance problems when necessary.

Inquiries regarding the EAP program should be referred to the Office of the City Clerk.

7.8 WORKER'S COMPENSATION

The City provides worker's compensation benefits in accordance with Alabama State worker's compensation laws for any injury resulting from a job-related accident. If an employee suffers an on-the-job injury (no matter how slight), the employee must report the injury to his or her supervisor immediately if the employee is able to do so. The supervisor will ensure that the employee obtains property medical attention by following the City's Medical Protocol. As soon as practicable, the employee must provide full written information concerning the accident and injury to the employee's supervisor. The Department Head is responsible for completing the *Employers First Report of Injury* and promptly providing the report to the City Clerk for submission to the worker's compensation insurance carrier. Eligibility and entitlement for benefits shall be determined in accordance with criteria specified in the Ala. Code §25-5-1, *et seq.* (1975).

While on worker's compensation leave, an employee is ineligible for paid sick leave benefits, except for the first three lost workdays due to a job-related accident. If the employee loses a total of twenty-one (21) days or more from work as a result of the accident, the worker's compensation insurer may be required to pay the employee for the first three days missed. If this occurs, the employee is responsible for reimbursing the City for the three days of sick leave previously paid to the employee as paid sick leave. Once the City is reimbursed, the three days of sick leave will be returned to the employee's sick leave accruals.

7.9 UNEMPLOYMENT COMPENSATION

An employee who is separated from City employment may be eligible to receive unemployment compensation provided that:

- He or she has completed the required employment period to be eligible; and

- Unemployment is not caused by the employee's voluntary resignation or is not otherwise non-compensable.

The Director of the Alabama Department of Industrial Relations determines eligibility and authorizes unemployment compensation benefits.

8.0 SEPARATION FROM EMPLOYMENT

8.1 GENERAL PROVISIONS

All separations of classified and unclassified employees shall be designated as one of the following types: resignation, reduction in force, retirement, disability separation, death, or dismissal.

At the time of separation from employment, the employee shall return all City property in his/her possession prior to the payment of final compensation. The supervisor shall complete an outprocessing checklist and certify that all City property has been returned. Any amount due the City because of a shortage in the above properties will be withheld from the employee's final compensation.

8.2 RESIGNATION

Any unauthorized absence from work for a period of three (3) consecutive workdays shall be considered by the Department Head and the City as a "voluntary resignation."

An employee may resign by submitting a written notice of resignation to his supervisor that shall include the reasons for resigning and the effective date. Notification to the City should be given as far in advance as possible, but at least two (2) weeks prior to the effective date of the resignation. Failure to comply with this requirement may result in being denied future employment with the City.

Department Heads shall forward all resignation notices promptly to the Office of the City Clerk. In addition, Department Heads shall notify the Office of the Mayor of an employee who is considered a voluntary quit based on three consecutive unauthorized absences.

8.3 REDUCTION IN FORCE (RIF)

If a reduction in the work force becomes necessary, the Mayor, in coordination with the City Council, shall determine the nature and scope of the reduction in force (RIF) and which departments and job classes shall be affected.

Department Heads shall be responsible for implementing the RIF within their departments in accordance with the established guidelines. The criteria to be used by Department Heads in making RIF decisions shall include length of service and the performance of the employee. The duties that were performed by the laid-off employee may be reassigned to other employees. When a classified employee is scheduled to be laid-off, the employee shall be offered a demotion to a lower class if the employee is qualified for the lower class and a suitable vacancy exists.

Classified and unclassified employees shall receive written notice of their lay-off at least fourteen (14) calendar days prior to the effective date of the lay-off. Other employees are not entitled to a specific period of notice, but will be given as much notice as possible. All RIF notices shall be prepared on a form provided by the Office of the Mayor. The RIF notice shall be signed by the Department Head and the Mayor. The original copy of the notice signed by the employee shall be submitted to the Office of the City Clerk for the employee's personnel file.

Classified employees who are laid-off will have recall rights for one (1) year from the effective date of the lay-off. The employee will sign a statement that he/she is aware of the requirement to submit in writing to the Office of the Mayor every ninety (90) days a statement indicating his/her availability for employment and interest in future work with the City.

8.4 RETIREMENT

Eligibility for retirement and all retirement benefits are governed exclusively by the Retirement System of Alabama. Employees seeking to retire should contact the City Clerk as far in advance of their anticipated retirement date as practicable. Certain deadlines are established by the Retirement System of Alabama that must be met.

8.5 DISABILITY SEPARATION

An employee may be separated from employment if he/she cannot perform the essential functions of the job because of an extended or chronic physical or mental impairment. Any separation for disability must comply with all requirements of the Americans with Disabilities Act and the Family Medical Leave Act. The Office of the Mayor shall review a proposed disability separation and insure compliance with ADA and all other applicable laws.

The employee or the City may initiate a disability separation. Any disability separation must be supported by written medical evidence acceptable to the Mayor and requires the Mayor's written approval before implementation. The City reserves the right to require a second medical opinion and to make arrangements for the employee to be examined by a city-authorized physician at the City's expense.

If an employee wishes to retire based on his/her disability, the employee shall complete a Request for Retirement form and submit it to the Office of the Mayor. Along with the request to retire form, the employee must submit a specific written medical certification required by the State Retirement System.

Questions regarding a disability separation or a disability retirement should be referred to the Office of the Mayor.

8.6 DEATH

Separation from employment shall be effective as of the date of the employee's death. All compensation due as of that date shall be paid to the estate of the employee except for such sums that must be paid by law to the surviving spouse. Any indebtedness to the City may also be withheld from compensation, if approved by the City Council.

8.7 DISMISSAL

Any employee may be dismissed for the good of the City when justified. Reasons for dismissal are included in the policy section entitled "Rules of Conduct." This list is not exclusive.

All dismissal proceedings shall ensure that a full-time employee is provided maximum due process protection. The Office of the Mayor shall provide required procedures and forms to be used in all due process proceedings. As a minimum, a full-time employee shall be provided written notice of the proposed dismissal, the reason(s) for it, his/her right to answer the charges orally or in writing, and his/her right to representation of his/her choice. If the full-time employee fails to respond to this notice, the proposed dismissal shall be effective on the date specified.

An employee may appeal his/her dismissal in accordance with the City's grievance procedures.

